

Manual of Negotiable Certificate of Deposit (NCD)

Change History

Date	Version	Purpose of modification
2022-08-09	V 1.0	Initial Version
2022-08-17	V 1.1	Update Manual
2022-09-15	V.1.1	Update on Reject on Pre-allocated
2023-02-02	V.1.2	Updated on NCD Auction

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1. INTRODUCTION

1.1.ABOUT THIS MANUAL

The main purpose of this manual is to provide a description of functionality and user interface instruction of NCD and NCD Auction Platform for Participants Users. It is noted that this platform is designed and developed for members.

1.2.USER INTERFACE AND SCREENSHOT

In this user guide, the screenshots are done based on the (V2.1.18) release. All the screenshots are taken from the Integration environment, and no confidential information is compromised.

1.3.ABBREVIATIONS

	Definition
NCD	Negotiable Certificate of Deposit
TA	Trading Account
CASA	Current and Saving Account
ISIN	International Securities Identification Number
FI	Financial Institutions
NBC	National Bank of Cambodia
CCY	Currency
KHR	Khmer riels
USD	United State Dollar

2. OVERVIEW OF NBCP PLATFORM

A Securities trading platform is the software that enables investors and traders to place trades and monitor accounts through financial intermediaries issued by the National Bank of Cambodia. It is issued in Khmer riel and U.S. dollars to help commercial banks and microfinance institutions invest in the period liquidity. Securities are normally held until maturity; some holders can cash out before maturity and realize the short-term interest gains by reselling the investment in the secondary market. This product has served as an investment instrument that is transferable, collateralized, interest-earned, and highly liquid. For an improved version of the current system with more robust features, NBC decided to renovate the subscription, bidding, and trading processes by integrating with the state-of-art blockchain technology, namely Smart Network Service. This innovation is hereby called the NBC Platform, which can offer greater experience and service for securities trading, including subscription, bidding, issuance, buying/selling, Repo, collateralized, blocked/released, early redemption, securities ownership management, portfolio, and reports.

The development process is expected to cover:

- a) Data change management
- b) Announcement
- c) Securities subscription, bidding, and allocation
- d) Deal and settlement
- e) Motoring trading account and account statement within FI
- f) Manage current account and trading accounts.
- g) Maturity settlement
- h) Settings for system management such as Notification management, Payment Channel
- i) Reports for deal history

2.1.PRODUCT STAKEHOLDER

1. Financial Institution:
 - a. FI inputter- user from registered FI who can subscribe/ bid in the session.
 - b. FI Authorizer- user from registered FI who can approve or reject the request.

3. PREREQUISITE

- Browser
 - Google Chrome 88 or higher
 - FireFox version 86 or higher
 - Microsoft Edge 89 or higher
 - Safari 14 or higher
- Operating System
 - Windows 10 or higher
 - MacOS 10.15 (Catalina) or higher
- Must have a leased line connection to National Bank of Cambodia.
- FI's Firewall must allow Outgoing Ports 80, 8080, 443 and 8765 for FI's Clients to access NBCP server.
- Translate the domain name 'nbcpc.nbc' to 172.24.21.80

4. NCD PLATFORM OPERATION

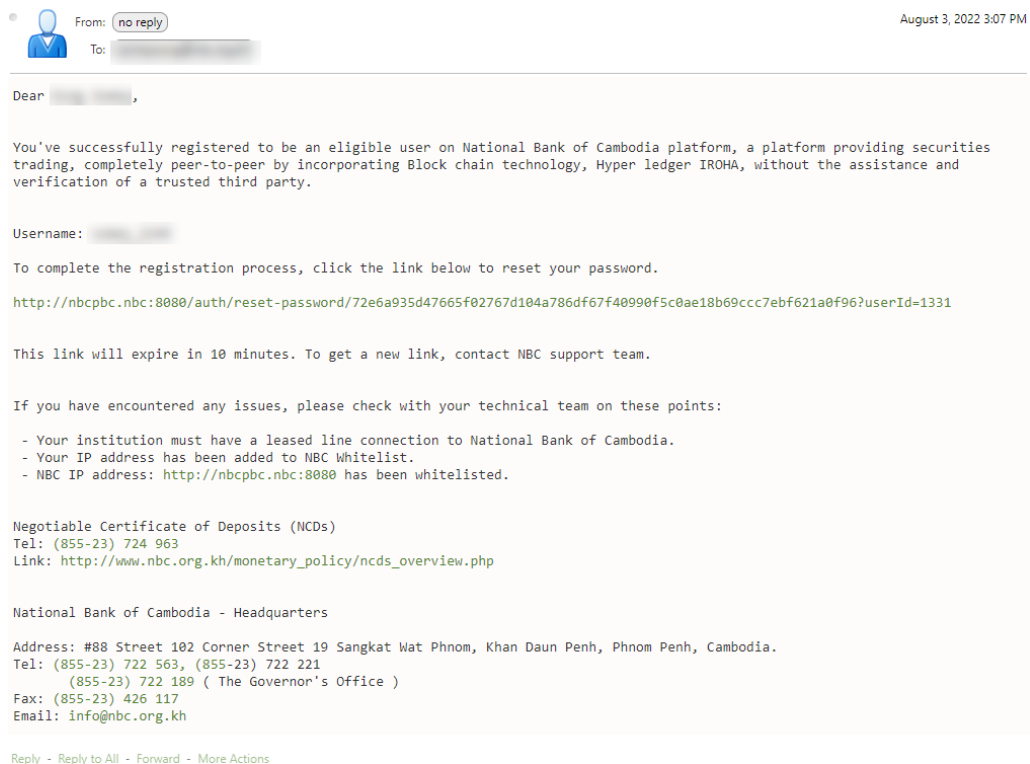
4.1 PARTICIPANT USER

4.1.1. LOGIN

- Dashboard: view ongoing session and announcement
- My portfolio: Manage outstanding securities and balance
- Primary market: Manage the subscription/ auction.
- Setting: Manage notification management and payment channel

The login security policy is implemented following the security guideline. It is worth nothing that the user is required to change the default password during the first-time login.

Participants will receive a link to enter the NBC Platform via registered email.



Click on the link provided to reset the password.

A dark-themed web form titled 'Reset Password' with the instruction 'Please enter your new password'. It features two input fields: 'New Password' and 'Confirm New Password', both with red outlines and password icons. A red 'RESET PASSWORD' button is below the fields. At the bottom, there is a link that says 'OR LOGIN TO YOUR ACCOUNT'.

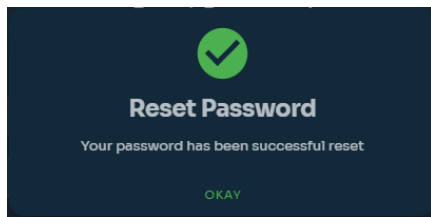
Input new password and confirm on your new password.

Password rule: at least 8 characters with **at least** 1 Uppercase, 1 lower case, 1 special character and 1 number.

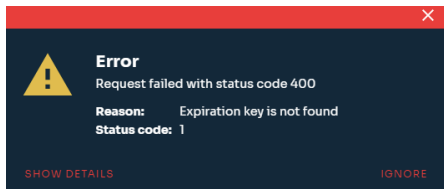
Example for password to input as the rule above: aA12345@

***** Note:** using the same password after reset for the 2nd or 3rd time will not be allowed.

Users get a successful reset password message and new password can be use afterward.



However, user might encounter the below issue, when their reset password link in the email is expired. It will be shown in the below figure.

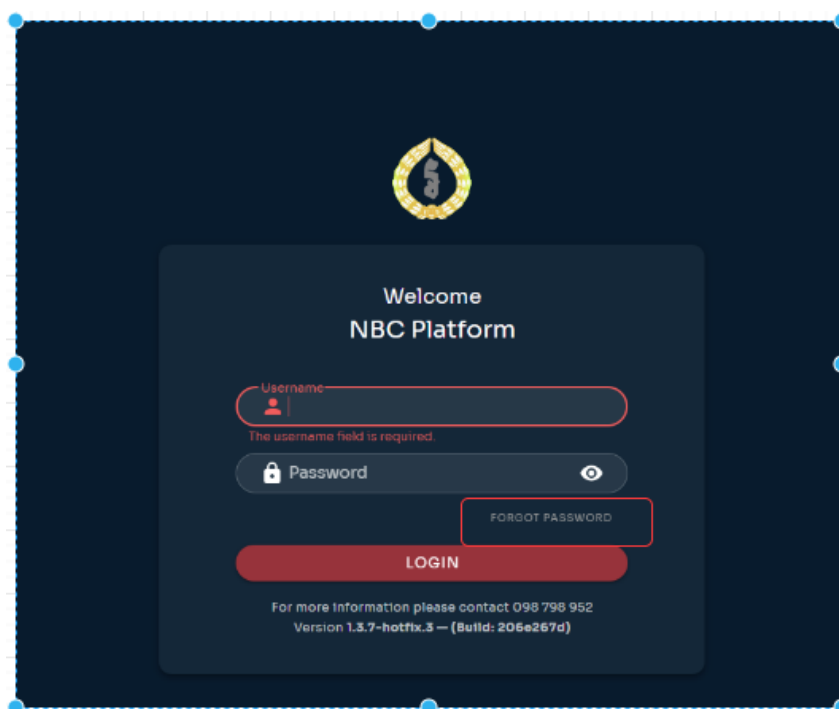


If a user encounters this error of reset password, they can go to the login screen and click on forget password.

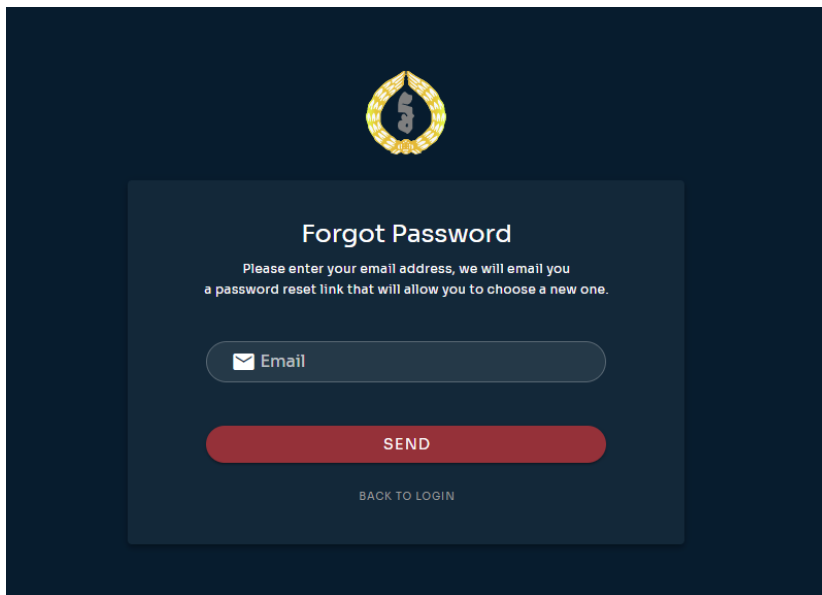
4.1.2. FORGET PASSWORD

Purpose: allow the user to create a new password to login the NBCP.

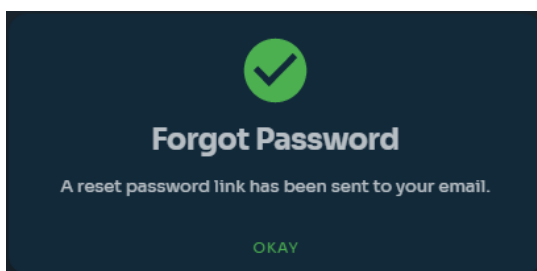
On log in screen Click the word Forgot Password



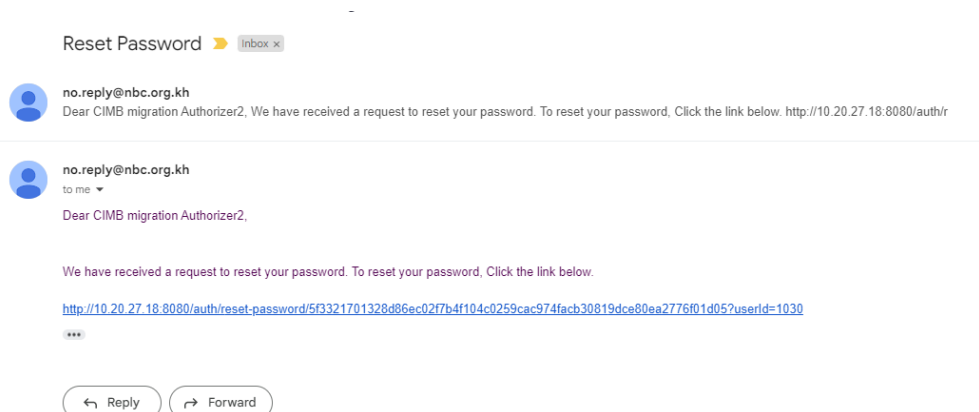
- Click on forget password- input email that have been used to register on NBCP, then click send to receive a link to reset password



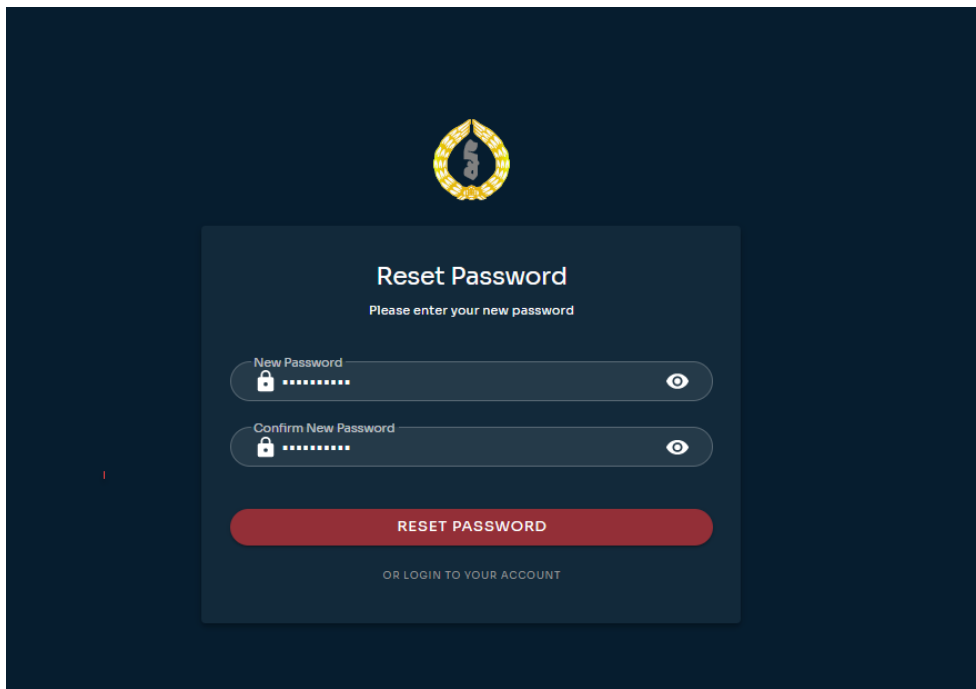
- Show a pop-up message



- Check your email, you will get an email from no.reply@nbc.org.kh and then Click the link in email



- It redirects to Reset Password Screen



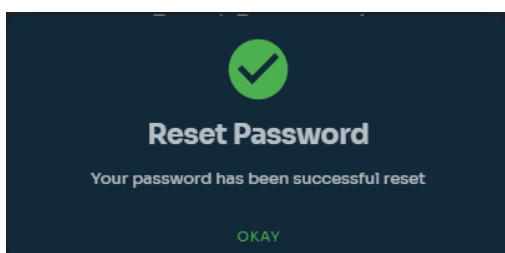
Input new password and confirm on your new password.

Password rule: at least 8 characters with **at least** 1 uppercase, 1 lower case, 1 special character and 1 number.

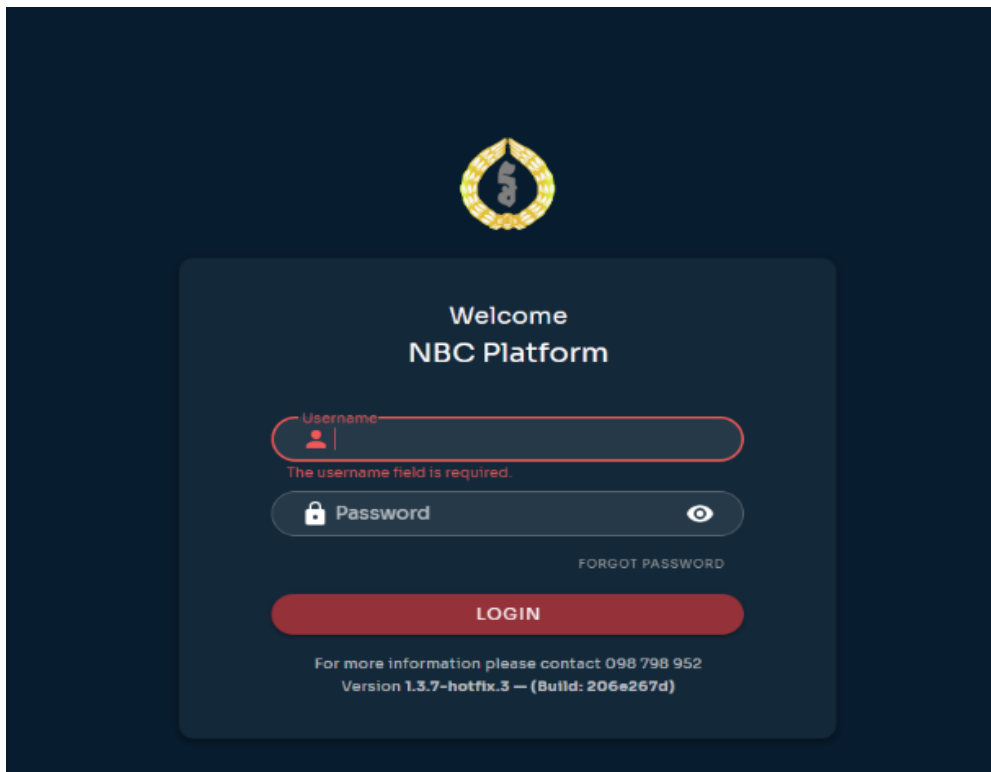
Example: aA12345@

- Click RESET PASSWORD

User gets a successful reset password message and new password can be used to login.



- Go to Login screen



Input the username that receive in registered email and Password that you just reset successfully.

4.2. DASHBOARD

Participant users will see active sessions and a list of announcements.

Navigation Menu: Dashboard

4.2.1. SESSIONS

Purpose: This section displays the ongoing session and participant users can subscribe/ bid to the securities based on product type. Users also can view a list of announcements from NBC.

How To: Dashboard => Sessions

Remark: Product NCD: If session is closed, users can't subscribe/ bid any more.

Open Date/Time :

04/04/2021 05:00 PM

Close Date :

05/04/2021 05:00 PM

Issue Date/Time :

06/04/2021 05:00 PM

Tenor	ISIN	CCY	Asset Name	Offering Qty	Min - Max Subscribe	Discount Rate (%)	Face Value	Action
7 Days	KH-110007002-1	KHR	NCDKHR07D	100	10 - 100	10 %	1,000,000	Subscribe
30 Days	KH-110030002-1	KHR	NCDKHR30D	100	10 - 100	10 %	1,000,000	Subscribe
365 Days	KH-110365002-1	KHR	NCDKHR365D	100	10 - 100	10 %	1,000,000	Subscribe
14 Days	KH-120014002-1	USD	NCDUSD14D	100	10 - 100	10 %	1,000.00	Subscribe
30 Days	KH-120030002-1	USD	NCDUSD30D	100	10 - 100	10 %	1,000.00	Subscribe
365 Days	KH-120365002-1	USD	NCDUSD365D	100	10 - 100	10 %	1,000.00	Subscribe
30 Days	KH-120030002-1	USD	NCDUSD30D	100	10 - 100	10 %	1,000.00	Subscribe

Name :

Session BID NCD for May 2021

2 Days 23:00 h left

Open Date/Time :

04/04/2021 05:00 PM

Close Date :

05/04/2021 05:00 PM

Issue Date/Time :

06/04/2021 05:00 PM

ISIN	CCY	Asset Name	Offering Qty	Min - Max Bid Qty	Discount Rate (%)	Min-Max Bid Interest Rate (%)	Face Value	Action
KH-110007002-1	KHR	NCDKHR07D	100	10 - 100	5 %	0-5.5 %	1,000,000	Bid
KH-110030002-1	KHR	NCDKHR30D	100	10 - 100	5 %	0-5.5 %	1,000,000	Bid
KH-110365002-1	KHR	NCDKHR365D	100	10 - 100	5 %	0-5.5 %	1,000,000	Bid
KH-120014002-1	USD	NCDUSD14D	100	10 - 100	5 %	0-5.5 %	1,000.00	Bid
KH-120030002-1	USD	NCDUSD30D	100	10 - 100	5 %	0-5.5 %	1,000.00	Bid
KH-120365002-1	USD	NCDUSD365D	100	10 - 100	5 %	0-5.5 %	1,000.00	Bid

Announcements

View all

Monthly issuance of Negotiable Certificate of Deposits (NCD) for Khmer Riel

01/05/2021 09:00 AM

Securities Issuance

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Monthly issuance of Negotiable Certificate of Deposits (NCD) for USD

01/05/2021 09:00 AM

Securities Issuance

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Riel NBC survey shows riel perceptions

01/05/2021 09:00 AM

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Banking liquidity tool moves to weekly issuance

01/05/2021 09:00 AM

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Issuance of Negotiable Certificate of Deposits (NCD) for Khmer Riel

01/05/2021 09:00 AM

Securities Issuance

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Announcements View all

Monthly issuance of Negotiable Certificate of Deposits (NCD) for Khmer Riel

01/05/2021 09:00 AM [Securities Issuance](#)

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Monthly issuance of Negotiable Certificate of Deposits (NCD) for USD

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Riel NBC survey shows riel perceptions

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Banking liquidity tool moves to weekly issuance

01/05/2021 09:00 AM

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Issuance of Negotiable Certificate of Deposits (NCD) for Khmer Riel

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When NBC opens the session, it will be listed in the above screen. Users can see it on their dashboard and subscribe in active session.

- Click on button Subscribe/ bid.
- Input the QTY, Total Subscription/bid Price is Face value * QTY.
- Click Next

Apply For Subscription

ISIN:

KH-1000004C9-3

Product Type:

NCD

Tenor:

7 Days

Currency:

KHR

Face Value:

100,000

Offering Qty:

1,000

Min Subscription Qty:

100

Max Subscription Qty:

1,000

Interest Rate (%):

0.5%

Discount Rate (%):

0%

Subscription Qty*

100

Total Subscription Price:

10,000,000 KHR

CANCEL

NEXT

Apply For Bidding

ISIN :	KH-22001Y002-1	Indicative Qty	100
Product Type :	NCD Auction	Min Bid Qty :	10
Tenor :	1 Years	Max Bid Qty :	100
Currency :	USD	Min Bid Interest Rate (%) :	0 %
Face value	1,000.00	Max Bid Interest Rate (%) :	5 %

Bid Qty *

Bid Interest Rate (%) *

Total Price : 20,000.00 USD

Cancel
Next

- Input Description (Note to your authorizer) and Click Submit

Are you sure to submit this request?

Description (Optional)

CANCEL
SUBMIT

Go to menu **My Request** on the menu bar

- Click on ID to see what you have request.

My Request						
Requested At(From-To)		Status	Action		Search	
ID	Request	Action	Requested By	Requested At	Authentics	Status
10015	SECURITY_SUBSCRIPTION	CREATE	Vietnhan Bank Ltd	10/06/2022 08:24 AM	1	Pending
10016	SECURITIES_MARKET	CREATE	Vietnhan Bank Ltd	09/06/2022 04:56 PM	1	Approved
9079	SECURITIES_MARKET	CREATE	Vietnhan Bank Ltd	09/06/2022 08:53 AM	1	Approved
9076	SECURITIES_BIDDER	CREATE	Vietnhan Bank Ltd	08/06/2022 02:02 PM	1	Approved
7964	DEAL	UPDATE	Vietnhan Bank Ltd	04/06/2022 04:51 PM	1	Approved
6990	SECURITIES_MARKET	CREATE	Vietnhan Bank Ltd	04/06/2022 02:43 PM	1	Approved
6884	SECURITIES_BIDDER	CREATE	Vietnhan Bank Ltd	04/06/2022 09:43 AM	1	Approved
6989	DEAL	UPDATE	Vietnhan Bank Ltd	04/06/2022 09:30 AM	1	Approved
6967	DEAL	UPDATE	Vietnhan Bank Ltd	03/06/2022 10:06 AM	1	Approved
6960	BID_ORDER_FI	CREATE	Vietnhan Bank Ltd	03/06/2022 10:02 AM	1	Approved

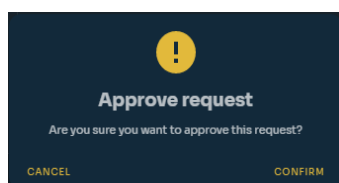
Once inputter has requested NCD subscription/ bidding on NBCP, there will be another person who authorizes the request. Authorizer log in NBCP, go to Menu **Authorize Request**- there is a list of requests.

- Click on Request ID that inputter just submitted. The status of request must be 'Pending'

ID	Subject	Action	Requested By	Requested At	Authorizers	Status
10015	SECURITY_SUBSCRIPTION	CREATE	Vattanao Bank Ltd	10/08/2022 08:24 AM	1	Pending
10016	SECURITIES_MARKET	CREATE	Vattanao Bank Ltd	09/08/2022 04:56 PM	1	Approved
9071	SECURITIES_MARKET	CREATE	Vattanao Bank Ltd	09/08/2022 06:53 AM	1	Approved
9016	SECURITIES_BIDDER	CREATE	Vattanao Bank Ltd	08/08/2022 02:02 PM	1	Approved
7964	DEAL	UPDATE	Vattanao Bank Ltd	04/08/2022 04:31 PM	1	Approved
6995	SECURITIES_MARKET	CREATE	Vattanao Bank Ltd	04/08/2022 02:43 PM	1	Approved
6984	SECURITIES_BIDDER	CREATE	Vattanao Bank Ltd	04/08/2022 09:43 AM	1	Approved
5993	DEAL	UPDATE	Vattanao Bank Ltd	04/08/2022 08:30 AM	1	Approved
5967	DEAL	UPDATE	Vattanao Bank Ltd	02/08/2022 10:06 AM	1	Approved
5965	BID_ORDER_FI	CREATE	Vattanao Bank Ltd	02/08/2022 10:02 AM	1	Approved

Request Information		Module Information	
ID	10015	Payload	
Subject	SECURITY_SUBSCRIPTION	id	0
Action	CREATE	subscriptionQty	100
Requested By	Vattanao Bank Ltd	subscriptionPrice	100,000
Requested At	10/08/2022 08:24 AM	totalSubscriptionPrice	10,000,000
Participant	Vattanao Bank Ltd	totalValue	10,000,000
Reason	N/A	subscriptionDateTime	
Status	Pending	participant	Vattanao Bank Ltd
		status	Subscribed

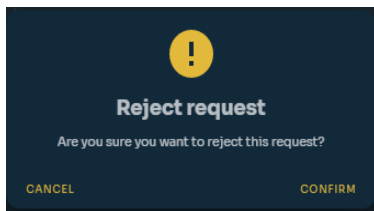
- Click on button **Approve**, screen confirm will pop up.



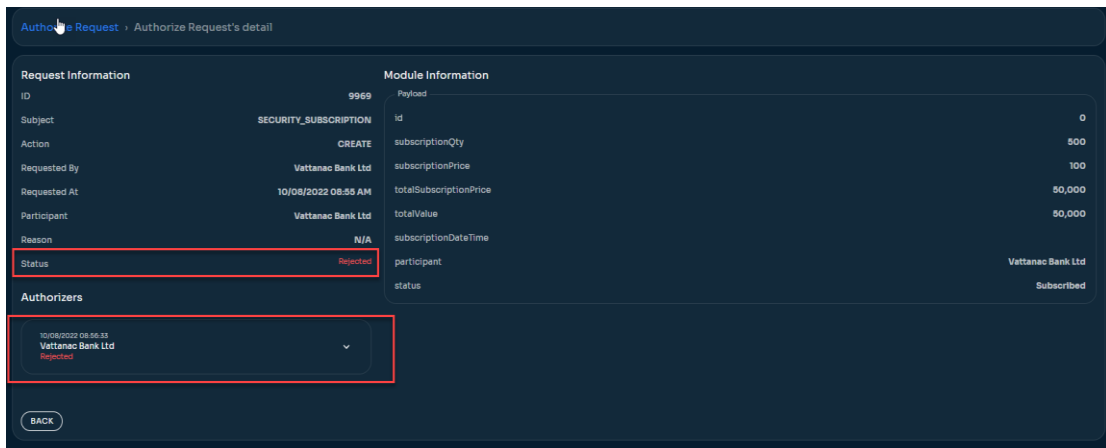
- Click **Confirm**, Request Status will be changed to 'Updated'.

Request Information		Module Information	
ID	10015	Payload	
Subject	SECURITY_SUBSCRIPTION	id	1401
Action	CREATE	subscriptionQty	100
Requested By	Vattanao Bank Ltd	subscriptionPrice	100,000
Requested At	10/08/2022 08:24 AM	totalSubscriptionPrice	10,000,000
Participant	Vattanao Bank Ltd	totalValue	10,000,000
Reason	N/A	subscriptionDateTime	10/08/2022 08:35:41
Status	Updated	participant	Vattanao Bank Ltd
		status	Subscribed

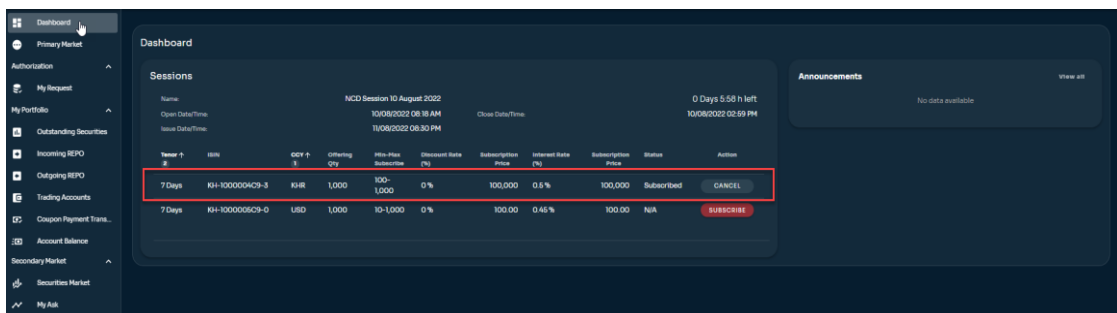
Authorizer can reject the request by click on button **Reject**



- Click **Confirm**, the status will be changed to '**Rejected**'.

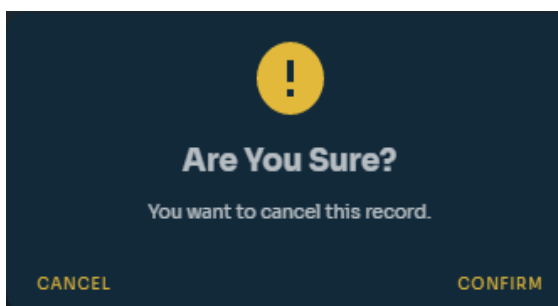


Once request has been approved by authorizer, Dashboard will be updated from button '**SUBSCRIBE**' to button '**CANCEL**'



If user wants to revise or cancel the subscription, bidding, He/she must cancel the subscription/ bidding and required the authorization.

Click button '**Cancel**'



- Click **Confirm** and Authorizer authorize the request. After Cancel request has been authorized, button on Dashboard will be updated from '**CANCEL**' to '**SUBSCRIBE**'/'**BID**'.

User can cancel the subscription/ bid only when the session hasn't been closed yet. To re-subscribe, / bid go to [Dashboard](#).

4.2.2. ANNOUNCEMENTS

Purpose: This function allows participant users to check on the announcements.

Tips: Go to Dashboard -> Announcements

The screenshot shows a dashboard with two main sections. The 'Sessions' section on the left displays a table of sessions with columns for Name, Open Date/Time, Issue Date/Time, Tender, ISIN, CCF, Offering Qty, Min-Max, Discount Rate, Subscription Price, Interest Rate, Subscription Price, Status, and Action. The 'Announcements' section on the right, highlighted with a red box, shows a notification for 'NCD subscription' with a 'View all' link.

Tender	ISIN	CCF	Offering Qty	Min-Max	Discount Rate	Subscription Price	Interest Rate	Subscription Price	Status	Action
7 Days	KH-1000004C9-3	KH-R	1,000	100-1,000	0%	100,000	0.5%	100,000	Subscribed	CANCEL
7 Days	KH-1000006C9-0	USD	1,000	10-1,000	0%	100.00	0.46%	100.00	N/A	SUBSCRIBE

Users can click on the announcement to see more detail.

The screenshot shows the 'Announcement Detail' page for 'NCD subscription'. It includes fields for Name, Tags, Upload File, Publish Date, Type, and Status. The status is 'Active'.

Name	Tags	Upload File	Publish Date	Type	Status
NCD subscription		N/A	10/08/2022 09:20 AM	General	Active

4.2.3. PRIMARY MARKET

This function allows FI to view ongoing session, manage subscriptions.

SESSION

This function allows FI to subscribe/ bid to the NCD and NCD Auction on NBCP.

Please refer to [Session](#) on Dashboard for more details.

Primary Market													
Sessions													
Ended Session													
Deal Subscription													
Award Auction													
Name: Session NCD													
Open Date/Time: 04/05/2021 05:00 PM													
Close Date/Time: 05/05/2021 05:00 PM													
Issue Date/Time: 06/05/2021 05:00 PM													
2 Days 23:00 h left													
ID	Tenor	ISIN	Asset Name	Indicative Qty	Min-Max Subscription	Discount Rate (%)	Interest Rate (%)	CCY	Subscription Qty	Subscription Date	Total Value	Subscription Status	Action
1	07 Days	KH-10007002-1	NCDKH07D	100	10-100	10	10	KHR	100	03/05/2021	1,000,000	subscribed	Cancel
2	30 Days	KH-10030002-1	NCDKH30D	100	10-100	10	10	KHR	100	03/05/2021	1,000,000	N/A	Subscribe
3	365 Days	KH-10365002-1	NCDKH365D	100	10-100	10	10	KHR	100	03/05/2021	1,000,000	N/A	Subscribe
4	14 Days	KH-10014002-1	NCDKH14D	100	10-100	10	10	USD	100	03/05/2021	1,000.00	Cancelled	Subscribe
5	30 Days	KH-10030002-1	NCDUSD30D	100	10-100	10	10	USD	100	03/05/2021	1,000.00	N/A	Subscribe
6	365 Days	KH-10365002-1	NCDUSD365D	100	10-100	10	10	USD	100	03/05/2021	1,000.00	N/A	Subscribe
Name: Session NCD Auction													
Open Date/Time: 04/05/2021 05:00 PM													
Close Date/Time: 05/05/2021 05:00 PM													
Issue Date/Time: 06/05/2021 05:00 PM													
2 Days 23:00 h left													
ID	Tenor	ISIN	Asset Name	Indicative Qty	Min-Max Bid Qty	Discount Rate (%)	Min-Max Bid Interest Rate (%)	CCY	Bid Qty	Bid Interest Rate (%)	Total Bid Price	Bid Date/Time	Bid Status
1	07 Days	KH-10007002-1	NCDKH07D	100	10-100	5%	0-5%	KHR	N/A	N/A	1,000,000	03/05/2021 09:00:30 AM	N/A
2	30 Days	KH-10030002-1	NCDKH30D	100	10-100	5%	0-5%	KHR	10	5%	1,000,000	03/05/2021 09:00:30 AM	N/A
3	365 Days	KH-10365002-1	NCDKH365D	100	10-100	5%	0-5%	KHR	10	5%	1,000,000	03/05/2021 09:00:30 AM	N/A

ENDED SESSION

This function allows FI to see the ended session that FI has subscribed/ bid to.

Primary Market													
SESSIONS													
ENDED SESSION													
DEAL SUBSCRIPTION													
AWARD AUCTION													
Name: NCD AUCTION 1st FEBRUARY 2023 AFTERNOON													
Open Date/Time: 01/02/2023 12:47 PM													
Close Date/Time: 02/02/2023 01:30 PM													
Issue Date/Time: 03/02/2023 01:45 PM													
ID	Tenor	ISIN	Asset Name	Indicative Quantity	Min-Max Bid Qty	Discount Rate (%)	Min-Max Interest Rate (%)	CCY	Bid Qty	Bid Interest Rate (%)	Total Bid Price	Bid Date/Time	Bid Status
1022	2 Days	KH-3000001022-8	NCD-AUCTION1022	80	1-80	0%	0-2.5%	KHR	20	2	20,000,000	01/02/2023 01:13 PM	Bid

Ended session end shows about the securities that FIs has subscribed/ bid and waiting for deal confirmation.

DEAL SUBSCRIPTION / AWARD AUCTION

This function allows FI to view the NCD and NCD Auction that NBC has allocated.

Primary Market											
SESSIONS											
ENDED SESSION											
DEAL SUBSCRIPTION											
AWARD AUCTION											
ID	Tenor	ISIN	Issue Date/Time	Owner	CCY	Face Value	Securities Qty	Total Purchase Price	Date/Time	Status	Action
603	2 Days	KH-1000006C8-9	25/07/2022 08:24 AM	National Bank of Cambodia	KHR	100,000	1,000	100,000,000	25/07/2022 08:20 AM	Pending	🔍
Rows per page: 10 1-1 of 1											

Primary Market													
Sessions				Deal Subscription				Award Auction					
No	Tenor	Product Type	ISIN	Issue Date/Time	Owner	CCY	Securities Qty	Yield YTM (%)	Interest Rate (%)	Price	Total Purchase Price	Status	Action
1	07 Days	T-Bill	KH-2021E002-1	02/09/2021 08:00:00 AM	COBT	KHR	10	10 %	N/A	999,000	9,990,000	Pending	
2	90 Days	NCD Auction	KH-2021E002-1	02/09/2021 08:00:00 AM	NBC	KHR	20	N/A	3.24 %	130,000	20,000,000	Pending	
3	360 Days	T-Bill	KH-2100E002-1	02/09/2021 08:00:00 AM	COBT	KHR	20	10 %	N/A	999,000	27,000,000	Pending	
4	6-Months	T-Bond	KH-2008E002-1	02/09/2021 08:00:00 AM	COBT	USD	20	10 %	N/A	900,000	18,000,000	Pending	
5	5 Years	T-Bond	KH-2008E002-1	02/09/2021 08:00:00 AM	COBT	USD	10	10 %	N/A	900,000	9,000,000	Pending	
6	10 Years	T-Bond	KH-2008E002-1	02/09/2021 08:00:00 AM	COBT	USD	10	10 %	N/A	900,000	9,000,000	Pending	

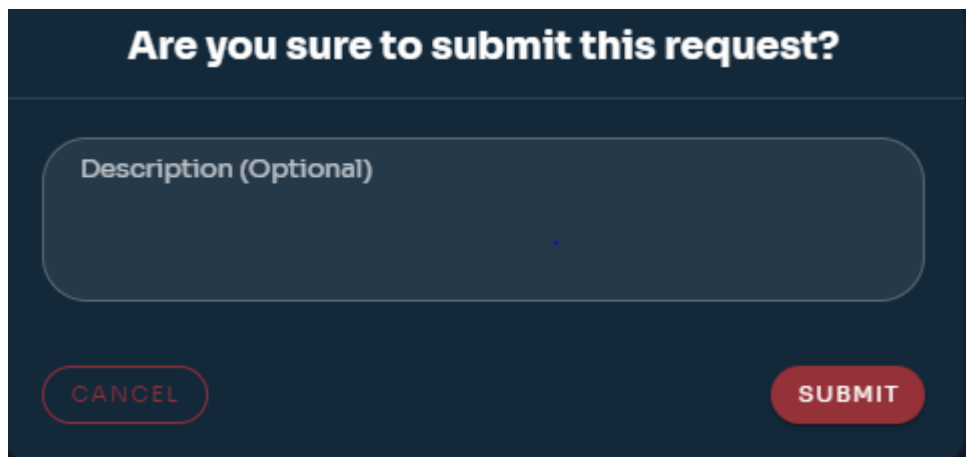
Status Pending means that NBC has allocated the NCD and FIs are waiting for settlement time. In this stage user realizes the amount of NCD that has been allocated. FI Trading Account must have enough balance to settle.

+ To reject the deal

Click on eye action

Deal Log			
Deal Information ISIN: KH-1000003E7-3 Issue Date/Time: 15/09/2022 02:00 PM Maturity Date: 22/09/2022 Issue Price: 100,000 KHR Deal type: Allocate Type: BUY Settlement Option: N/A Settlement Date/Time: 15/09/2022 02:00 PM Securities Qty: 20 Purchase Price: 100,000 KHR Total Purchase Price: 2,000,000 KHR Description: confirm		Seller Information Participant Name: National Bank of Cambodia Trader: National Bank of Cambodia Email: nbc1@gmail.com Phone Number: N/A Buyer Information Participant Name: Vattanac Bank Ltd Trader: VBL migration Inputter Email: ewpvprfexqep@gmail.com Phone Number: N/A	
		Deal Log Ticket ID: 3701 Status: Pending Deal has been created 15/09/2022 01:32 PM	
CLOSE		Pending Response REJECT	

Click Button Reject



Click submit.

Then this needs to authorize by authorize person.

Log into user authorize.

Click on authorize request → Pending ID → Approve/ Reject deal.

***** Noted: For NCD Auction cannot rejected in the award auction.**

4.3.MY PORTFOLIO

This function allows FI to manage their outstanding securities, Incoming Repo, Outgoing Repo, Trading Account, and Account balance (Not Applied).

4.3.1. OUTSTANDING SECURITIES

This function allows FI to manage their outstanding securities, REPO, Account balance, Bid, Ask, Sell to NBC, Repo to NBC, and transfer to FI.

- Click Menu Outstanding Securities, It will list all securities that FI is holding.

Outstanding Securities


KHR 604,000,000 USD 0.00

Issue Data(From To) Maturity Data(From To) Currency Status Tenor 91 Days

Search SEARCH

ID	Tenor	ISIN	Issue Date/Time	Maturity Date	Days To Maturity	CCY	Securities Balance	Issue Yield(%)	Issue Price	Face Value	Total Value	Opening Price	Status	Action
14	91 Days	KH-100000EC7-0	12/07/2022 03:35 PM	11/10/2022	61 Days	KHR	0	N/A	100,000	100,000	0	100,000	Allocated	
16	91 Days	KH-100000GC7-8	13/07/2022 08:40 AM	12/10/2022	62 Days	KHR	2,500	N/A	100,000	100,000	250,000,000	0	Allocated	
19	91 Days	KH-100000KC7-2	13/07/2022 10:52 AM	12/10/2022	62 Days	KHR	0	N/A	100,000	100,000	0	100,000	Allocated	

Rows per page: 10 1-3 of 3

- Click this button , to view securities details and in Trade List lists down all deals that have been made within an ISIN.

Outstanding Securities · Securities Detail


ISIN	KH-100000EC7-0	Maturity Date	11/10/2022	Face Value	100,000
Product Type	NCD	Maturity Settlement Date/Time	N/A	Price at Maturity	101,745
Asset Name	NCDKHR91D	Discount Rate (%)	0%	Status	Allocated
Currency	KHR	Interest Rate(%)	7%		
Issue Date/Time	12/07/2022 03:35 PM	Interest Amount	1,745		

TRADE LIST

ID	Trade Type	Type	Securities Qty	Purchase Price	Total Purchase Price	Participant	Trading Date/Time	Blocked
47	Allocate	Buy	+1,000	100,000	100,000,000	National Bank of Cambodia	12/07/2022 03:35 PM	No
96	Straight Forward	Sell	-1,000	100,000	100,000,000	ACLEDA Bank Plc.	16/07/2022 10:17 AM	No

Securities Balance: 0

Outstanding Securities can be traded, Straight Forward, REPO, and Sell Back.

- Click My Portfolio → Outstanding securities -> click this button 
- Show a drop-down list- Bid, Ask, Sell to NBC, Repo to NBC, and Transfer to FI

NBC Platform v1.0.0 - 08/09/20 - production

Current Date/Time: 10/08/2022 10:44:45 AM JTBUST INPUBTER FI Inputter: ICASBOPFXXXX

Outstanding Securities

KHR 13,306,500,000 USD 0.00


Issue Data(From To) Maturity Data(From To) Currency Status Tenor

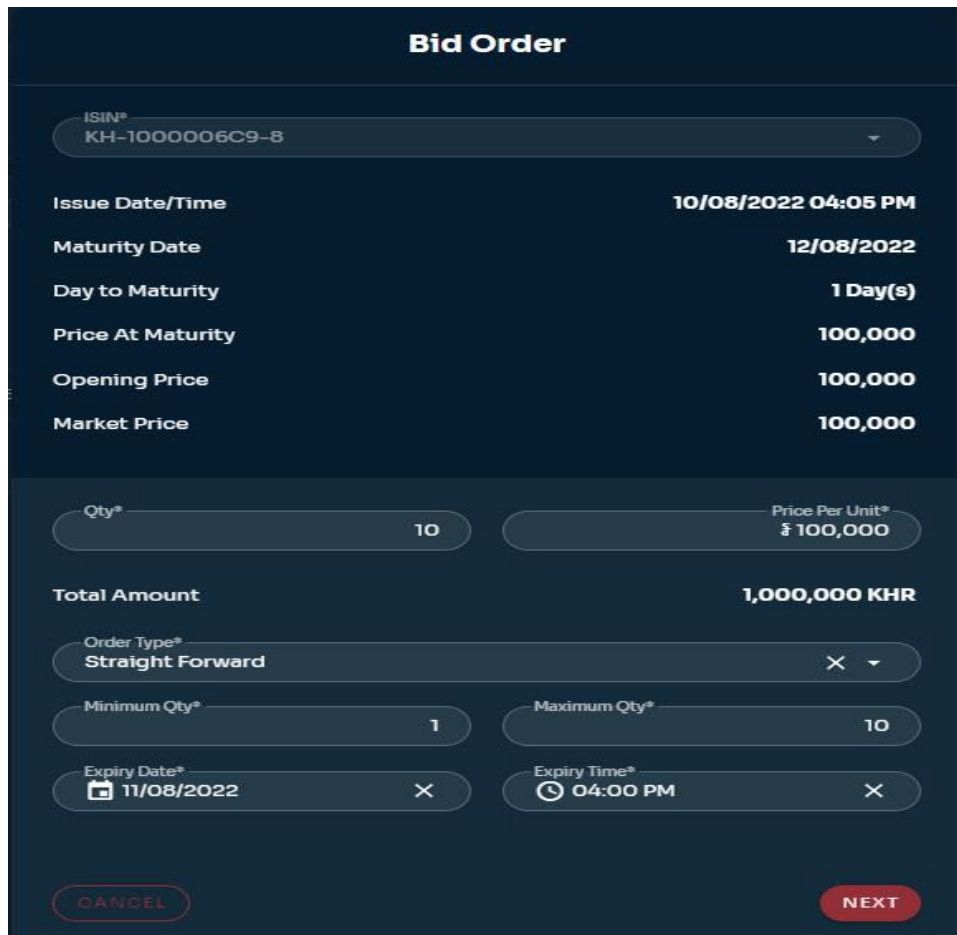
Search SEARCH

ID	Tenor	ISIN	Issue Date/Time	Maturity Date	Days To Maturity	CCY	Securities Balance	Issue Yield(%)	Issue Price	Face Value	Total Value	Opening Price	Status	Action
183	14 Days	KH-100000IC7-9	04/08/2022 01:48 PM	18/08/2022	7 Days	KHR	26	N/A	100,000	100,000	2,800,000	0	Allocated	
16	91 Days	KH-100000GC7-8	13/07/2022 08:40 AM	12/10/2022	62 Days	KHR	4,950	N/A	100,000	100,000	495,000,000	0	Allocated	
23	91 Days	KH-100000PC7-7	13/07/2022 03:35 PM	12/10/2022	62 Days	KHR	119,980	N/A	100,000	100,000	11,998,000,000	0	Allocated	
103	3 Years	KH-300000IC8-8	27/07/2022 01:46 PM	27/07/2025	1081 Days	KHR	480	1.78%	978,173	1,000,000	490,000,000	100,000	Allocated	
146	3 Years	KH-300000IC8-8	30/07/2022 04:30 PM	30/07/2025	1084 Days	KHR	28	1.25%	992,661	1,000,000	28,000,000	0	Allocated	
8	5 Years	KH-300000IC7-1	11/07/2022 11:14 PM	11/07/2027	1795 Days	KHR	50	3%	1,000,000	1,000,000	50,000,000	100,000	Allocated	
147	5 Years	KH-300000IC8-7	30/07/2022 04:30 PM	30/07/2027	1814 Days	KHR	50	3%	1,000,000	1,000,000	50,000,000	1,000,000	Allocated	
10	10 Years	KH-300000IC7-9	12/07/2022 10:25 AM	12/07/2032	3623 Days	KHR	60	4.646%	1,000,317	1,000,000	60,000,000	1,000,000	Allocated	
148	10 Years	KH-300000IC8-6	30/07/2022 03:55 PM	30/07/2032	3641 Days	KHR	15	4.646%	1,000,317	1,000,000	15,000,000	1,000,100	Allocated	
163	10 Years	KH-300000IC8-0	01/08/2022 04:07 PM	01/08/2032	3643 Days	KHR	60	4.646%	1,000,396	1,000,000	60,000,000	100,000	Allocated	

Rows per page: 10 1-10 of 11

BID

- Click My Portfolio → Outstanding securities -> click this button 
- Show a drop-down list- Bid, Ask, Sell to NBC, Repo to NBC, and Transfer to FI
- Click **Bid**, Pop up Bid Order screen that contains ISIN information. User input
 - o Qty : Amount want to buy
 - o Price Per Unit: Price per unit of NCD that users are willing to pay for.
 - o Total Amount: Auto Calculate (Price per unit * Qty)
 - o Order Type: Can be Straight Forward Sell and REPO
 - Straight Forward Sell
 - REPO: there is an agreement to reverse back
 - o Minimum QTY: refers to minimum Qty of each deal
 - o Maximum QTY: refers to maximum Qty of each deal
 - o Expiry Date: the Date that post order will disappear in Securities Market.
 - o Expiry Time: Time that post order will disappear in Securities Market.



The screenshot shows the 'Bid Order' screen with a dark blue background. At the top, the title 'Bid Order' is centered. Below it, the ISIN is displayed as 'KH-1000006C9-8'. A table of security details follows, including Issue Date/Time (10/08/2022 04:05 PM), Maturity Date (12/08/2022), Day to Maturity (1 Day(s)), Price At Maturity (100,000), Opening Price (100,000), and Market Price (100,000). Below the table, there are input fields for 'Qty*' (10) and 'Price Per Unit*' (\$ 100,000). The 'Total Amount' is calculated as 1,000,000 KHR. The 'Order Type*' is set to 'Straight Forward'. There are also fields for 'Minimum Qty*' (1), 'Maximum Qty*' (10), 'Expiry Date*' (11/08/2022), and 'Expiry Time*' (04:00 PM). At the bottom, there are 'CANCEL' and 'NEXT' buttons.

ISIN*	
KH-1000006C9-8	

Issue Date/Time	10/08/2022 04:05 PM
Maturity Date	12/08/2022
Day to Maturity	1 Day(s)
Price At Maturity	100,000
Opening Price	100,000
Market Price	100,000

Qty* 10 Price Per Unit* \$ 100,000

Total Amount 1,000,000 KHR

Order Type* Straight Forward

Minimum Qty* 1 Maximum Qty* 10

Expiry Date* 11/08/2022 Expiry Time* 04:00 PM

CANCEL NEXT

- Click **NEXT** button, It will show confirm screen.

Confirm Bid Order			
Securities Information		Bid Confirmation	
ISIN	KH-1000006C9-8	Order Type	Straight Forward
Issue Date	10/08/2022 04:05 PM	Minimum Qty	1
Maturity Date	12/08/2022	Maximum Qty	10
Currency	KHR	Expiry Date/Time	11/08/2022 04:00 PM
		Qty	10
		Price Per Unit	100,000 KHR
		Total Amount	1,000,000 KHR
		Description:	
BACK		POST	

- Click **POST**


Are you sure to submit this request?

Description (Optional)

[CANCEL](#)
[SUBMIT](#)

- Input a brief Description and Click Submit. This request will be submitted to authorizer. This request must be authorized. Once it is authorized, it will show in **Securities market (Other participants see)**.

ASK

- Click My Portfolio → Outstanding securities -> click this button 
- Show a drop-down list- Bid, Ask, Sell to NBC, Repo to NBC, and Transfer to FI
- Click **Ask**, Pop up Ask Order screen that contains ISIN information. User inputs
 - o Qty : Amount want to buy
 - o Price Per Unit: Price per unit of NCD that users are willing to sell at.
 - o Total Amount: Auto Calculate (Price per unit * Qty)
 - o Order Type: Can be Straight Forward Sell and REPO
 - Straight Forward Sell
 - REPO: there is an agreement to reverse back
 - o Minimum QTY: refers to minimum Qty of each deal

- Maximum QTY: refers to maximum Qty of each deal
- Expiry Date: the Date that post order will disappear in Securities Market.
- Expiry Time: Time that post order will disappear in Securities Market.

Ask Order

ISIN*
KH-1000006C9-8

Issue Date/Time	10/08/2022 04:05 PM	Available Qty	100
Maturity Date	12/08/2022	Total Available Value	10,000,000 KHR
Day To Maturity	0 Day	Opening Price	100,000 KHR
Price At Maturity	100,000 KHR	Market Price	100,000 KHR

Qty* 20

Price Per Unit
₹ 100,100

Total Amount: 2,002,000 KHR

Order Type*
Straight Forward

Minimum Qty* 1

Maximum Qty* 20

Expiry Date*
📅 11/08/2022 X

Expiry Time*
🕒 04:00 PM X

CANCEL
NEXT

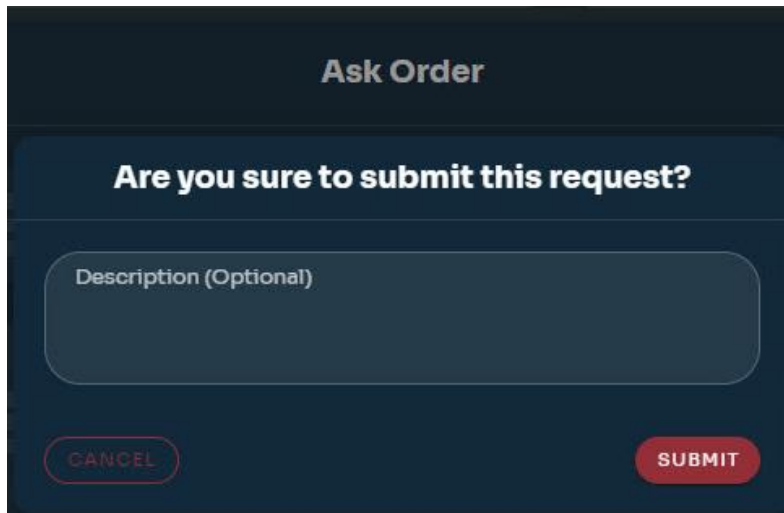
Click **NEXT** button, I will show confirm screen.

Confirm Ask Order

Securities Information		Ask Information	
ISIN	KH-1000006C9-8	Order Type	Straight Forward
Issue Date/Time	10/08/2022 04:05 PM	Minimum Qty	1
Maturity Date	12/08/2022	Maximum Qty	20
Currency	KHR	Expiry Date/Time	11/08/2022 04:00 PM
Available Qty	100	Securities Qty	20
Total Available Value	10,000,000	Price Per Unit	100,100

BACK
POST

- Click **POST**



Ask Order

Are you sure to submit this request?

Description (Optional)

CANCEL **SUBMIT**

- Input brief Description and Click **SUBMIT**. This request will be submitted to authorizer. Once it is authorized, it will show in **Securities market (Other participants will see.)**

SELL TO NBC

- Click 'Sell to NBC'



Create Deal For Early Redeem

Bid Information

ISIN	KH-1000007C9-6
Issue Date	10/08/2022 04:07 PM
Maturity Date	17/08/2022
Current Yield (%)	0 %
YTM (%)	0 %
Order Type	Early Redeem
Yield	0 %
Opening Price	100,000 KHR

Deal Information

Securities Qty* 100 Settlement Option* T+1 X

Description*
Sell Back to NBC

Purchase Price 99,999 KHR
Total Purchase Price 9,999,870 KHR

CANCEL **NEXT**

Create Deal For Early Redeem Screen

Securities Qty: Number of units to be sold back

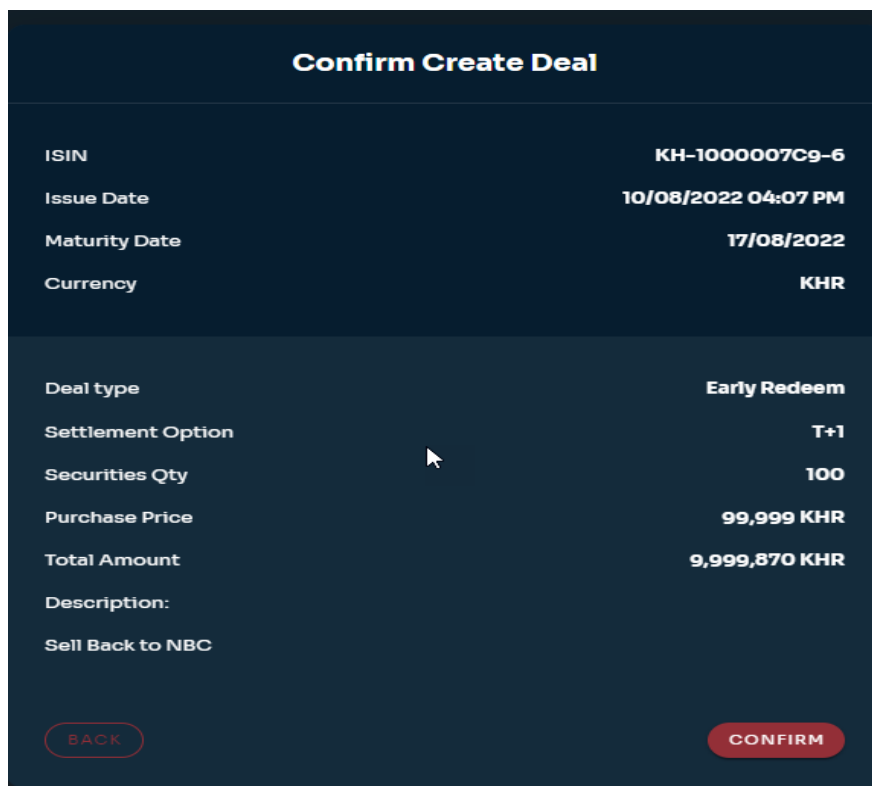
Settlement Option: Settle Now, T+0 (settle on same date at cut-off time), T+1, T+2

Description: Describe your purpose on sell back

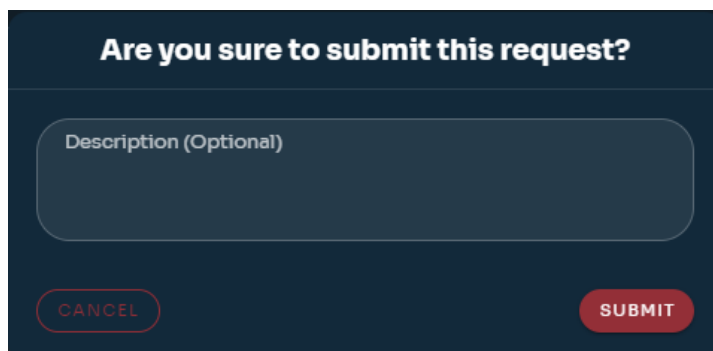
Purchase Price: Price Per Unit (this price will be calculated based on NBC Buy Back Rate)

Total Purchase Price: Total price of Securities QTY

- Click **NEXT** button

A screenshot of a 'Confirm Create Deal' screen. It features a dark blue background with white text. The screen is divided into two main sections. The top section displays deal details: ISIN (KH-1000007C9-6), Issue Date (10/08/2022 04:07 PM), Maturity Date (17/08/2022), and Currency (KHR). The bottom section displays deal specifics: Deal type (Early Redeem), Settlement Option (T+1), Securities Qty (100), Purchase Price (99,999 KHR), Total Amount (9,999,870 KHR), and Description (Sell Back to NBC). At the bottom, there are two buttons: 'BACK' and 'CONFIRM'.

If the information is correct, Click **CONFIRM**

A screenshot of a confirmation screen titled 'Are you sure to submit this request?'. It has a dark blue background with white text. Below the title is a large, rounded rectangular input field labeled 'Description (Optional)'. At the bottom, there are two buttons: 'CANCEL' and 'SUBMIT'.

- Click **SUBMIT**, your request will be submitted to your authorizer.

Authorizer makes approval on the request.

Go to Menu **Authorization**-> Click **Authorize Request**

The Subject of the request is '**EARLY_REDEEM**' and click on '**Pending**' request ID.

ID	Subject	Action	Requested By	Requested At	Authorizers	Status
9981	EARLY_REDEEM	CREATE	CIMB Bank PLC	10/08/2022 04:11 PM	1	Pending
9977	SECURITY_SUBSCRIPTION	CREATE	CIMB Bank PLC	10/08/2022 03:57 PM	1	Approved
10022	SECURITY_SUBSCRIPTION	CREATE	CIMB Bank PLC	10/08/2022 03:48 PM	1	Approved
9992	TRANSFER	CREATE	CIMB Bank PLC	29/07/2022 09:12 AM	1	Approved
9981	TRANSFER	CREATE	CIMB Bank PLC	29/07/2022 09:12 AM	1	Approved

- Click Record ID, show the request information and Click '**APPROVE**'

Request Information

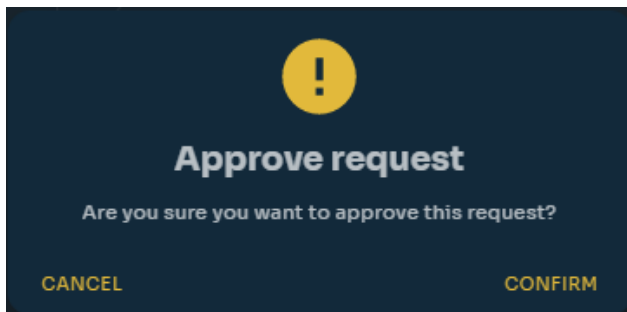
ID	9981
Subject	EARLY_REDEEM
Action	CREATE
Requested By	CIMB Bank PLC
Requested At	10/08/2022 04:11 PM
Participant	CIMB Bank PLC
Reason	N/A
Status	Pending

Module Information

Payload	
id	0
senderParticipant	
fullName	CIMB Bank PLC
receiverParticipant	
fullName	National Bank of Cambodia
securitiesQty	100
purchasePrice	99,999
totalPurchasePrice	9,999,870
settlementDateTime	
cancelledBy	
rejectedBy	
currencyCode	KHR
securities	
isin	KH-1000007C9-6
issueDateTime	10/08/2022 16:07:00
maturityDate	17/08/2022
daysToMaturity	6
productType	NCD
currencyCode	KHR
faceValue	100,000
status	Pending
orderType	
name	Early Redeem
type	SELL

[BACK](#)
[REJECT](#)
[APPROVE](#)

- Click button '**CONFIRM**' Status will be changed to '**Updated**'.



Request Information

ID: 9981

Subject: EARLY_REDEEM

Action: CREATE

Requested By: CIMB Bank PLC

Requested At: 10/09/2022 04:11 PM

Participant: CIMB Bank PLC

Reason: N/A

Status: Updated

Module Information

Payload

Id: 2401

senderParticipant: CIMB Bank PLC

receiverParticipant: National Bank of Cambodia

securitiesQty: 100

purchasePrice: 99,999

totalPurchasePrice: 9,999,870

settlementDateTime:

cancelledBy:

rejectedBy:

currencyCode: KHR

securities:

isin: KH-1000007C9-6

issueDateTime: 10/08/2022 16:07:00

maturityDate: 17/08/2022

daysToMaturity: 6

productType: NCD

currencyCode: KHR

faceValue: 100,000

status: Pending

orderType: Early Redeem

type: SELL

Authorizers

10/09/2022 16:13:40
CIMB Bank PLC
Approved

Once your authorizer has approved on a request, there will be a deal record.

Go to Menu Secondary market -> Deal

Dashboard

Primary Market

Authorization

Authorize Request

My Portfolio

Outstanding Securities

Incoming REPO

Outgoing REPO

Trading Accounts

Coupon Payment Trans...

Account Balance

Secondary Market

Securities Market

My Ask

My Bid

Deal

Report

Deal

Date(From-To) Deal Type Status Currency Tenor

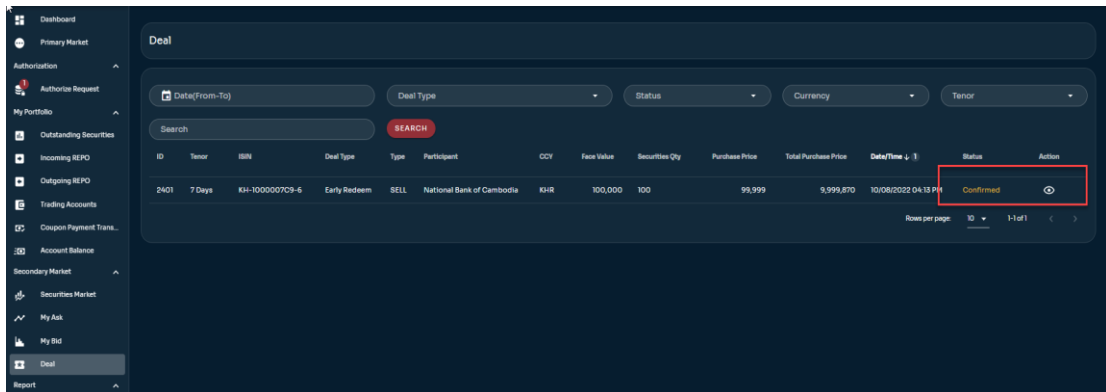
Search SEARCH

ID	Tenor	ISIN	Deal Type	Type	Participant	CCY	Face Value	Securities Qty	Purchase Price	Total Purchase Price	SettleTime	Status	Action
2401	7 Days	KH-1000007C9-6	Early Redeem	SELL	National Bank of Cambodia	KHR	100,000	100	99,999	9,999,870	10/08/2022 04:13 PM	Pending	

Rows per page: 10 1 of 1

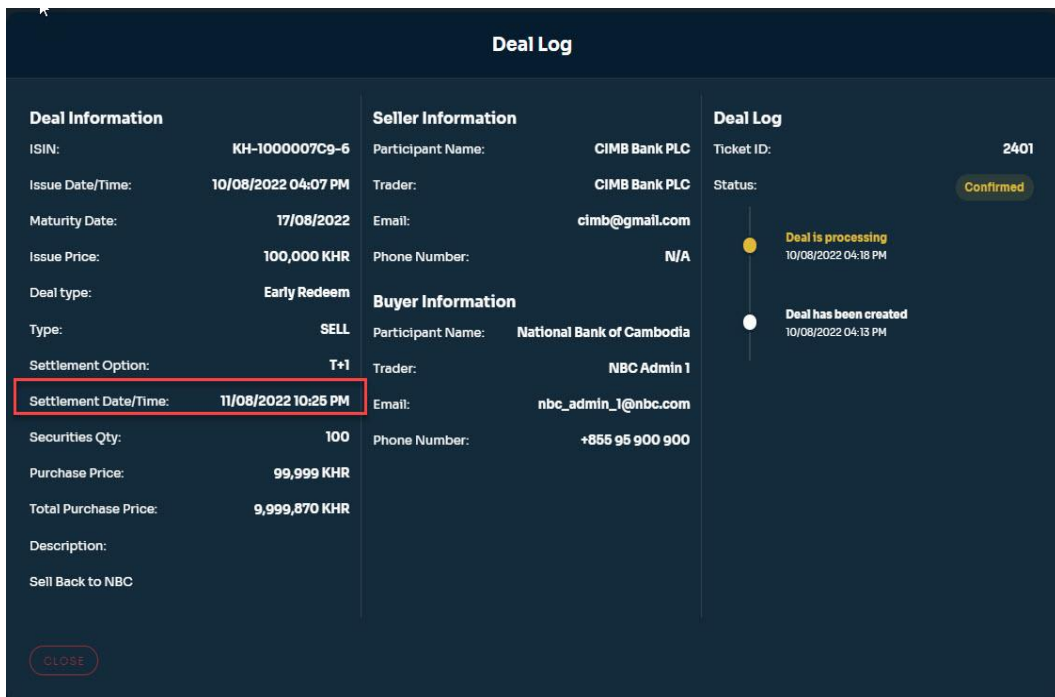
This request is in status 'Pending' – means waiting for NBC confirmation.

After NBC user has confirmed on this Deal, it will be change from status 'Pending' to 'Confirmed'



ID	Tenor	ISIN	Deal Type	Type	Participant	CCY	Face Value	Securities Qty	Purchase Price	Total Purchase Price	Date/Time	Status	Action
2401	7 Days	KH-1000007C9-6	Early Redeem	SELL	National Bank of Cambodia	KHR	100,000	100	99,999	9,999,870	10/08/2022 04:13 PM	Confirmed	

- Click on  to view deal details




Deal Information		Seller Information		Deal Log	
ISIN:	KH-1000007C9-6	Participant Name:	CIMB Bank PLC	Ticket ID:	2401
Issue Date/Time:	10/08/2022 04:07 PM	Trader:	CIMB Bank PLC	Status:	Confirmed
Maturity Date:	17/08/2022	Email:	cimb@gmail.com		
Issue Price:	100,000 KHR	Phone Number:	N/A		
Deal type:	Early Redeem				
Type:	SELL	Buyer Information			
Settlement Option:	T+1	Participant Name:	National Bank of Cambodia		
Settlement Date/Time:	11/08/2022 10:25 PM	Trader:	NBC Admin 1		
Securities Qty:	100	Email:	nbc_admin_1@nbc.com		
Purchase Price:	99,999 KHR	Phone Number:	+855 95 900 900		
Total Purchase Price:	9,999,870 KHR				
Description:					
Sell Back to NBC					

Deal has been confirmed by NBC and waiting for settlement.
Settlement Date/Time: refers to when deal will be settled.

REPO TO NBC

This action allows FI to repo their NCD with NBC.

- Click My Portfolio → Outstanding securities -> click this button 
- Show a drop-down list- Bid, Ask, Sell to NBC, Repo to NBC, and Transfer to FI
- Click Repo To NBC

Create Deal For Outgoing REPO to NBC

Bid Information	
ISIN:	KH-100000XC7-7
Issue Date/Time:	19/07/2022 02:15 PM
Maturity Date:	18/10/2022
Current Yield (%)	0 %
YTM (%)	0 %

Deal for Outgoing REPO Information

Settlement Option*	Securities Qty*
Settle now X ▾	20
Purpose*	
LPCO X ▾	
Description*	
Request LPCO 1234	
Amount 2,000,000	

CANCEL
NEXT

- Choose Settlement Option
- Input Security Qty
- Choose Purpose (MLF, LPCO, OD)
- Input Description
- Click NEXT

Confirm Create Deal For Outgoing REPO to NBC

ISIN:	KH-100000XC7-7
Issue Date/Time:	19/07/2022 02:15 PM
Maturity Date:	18/10/2022

Settlement Option:	Settle now
Securities Qty:	20
Purpose:	LPCO
Description:	
Request LPCO 1234 Amount 2,000,000	

BACK
CONFIRM

- Click CONFIRM

A confirmation dialog box with a dark blue background. At the top, it asks "Are you sure to submit this request?". Below this is a text input field labeled "Description (Optional)". At the bottom, there are two buttons: "CANCEL" on the left and "SUBMIT" on the right, both in red with white text.

- Click SUBMIT, your request will be submitted to your authorizer.

Authorizer makes approval on the request.

Go to Menu **Authorization-> Click Authorize Request**

The Subject of the request is 'REPO_TO_NBC' and click on 'Pending' request ID.

The screenshot shows a table titled "Authorize Request". At the top, there are filters for "Requested At (From-To)", "Status", "Action", and a "Search" button. The table has columns: ID, Subject, Action, Requested By, Requested At, Authorizers, and Status. The first row, with ID 11973 and Subject REPO_TO_NBC, is highlighted with a red border and has a "Pending" status. Other rows show various subjects like SECURITY_SUBSCRIPTION and DEAL, mostly with "Approved" status.

ID	Subject	Action	Requested By	Requested At	Authorizers	Status
11973	REPO_TO_NBC	CREATE	WING BANK (CAMBODIA) PLC.	15/08/2022 10:34 AM	1	Pending
11064	SECURITY_SUBSCRIPTION	CREATE	WING BANK (CAMBODIA) PLC.	13/08/2022 11:38 AM	1	Approved
11063	SECURITY_SUBSCRIPTION	CREATE	WING BANK (CAMBODIA) PLC.	13/08/2022 11:38 AM	1	Approved
10002	EARLY_REDEEM	CREATE	WING BANK (CAMBODIA) PLC.	12/08/2022 08:55 AM	1	Approved
10001	DEAL	UPDATE	WING BANK (CAMBODIA) PLC.	12/08/2022 08:09 AM	1	Approved
9996	TRANSFER_TO_F1	CREATE	WING BANK (CAMBODIA) PLC.	11/08/2022 10:22 AM	1	Approved
10038	SECURITY_SUBSCRIPTION	CREATE	WING BANK (CAMBODIA) PLC.	10/08/2022 03:56 PM	1	Approved
10030	SECURITY_SUBSCRIPTION	CREATE	WING BANK (CAMBODIA) PLC.	10/08/2022 03:47 PM	1	Approved
5052	SECURITIES_BIDDER	CREATE	WING BANK (CAMBODIA) PLC.	01/08/2022 11:12 AM	1	Approved
9998	SECURITY_SUBSCRIPTION	CREATE	WING BANK (CAMBODIA) PLC.	29/07/2022 08:37 AM	1	Approved

Authorize Request

Authorize Request's detail

Request Information

ID

11973

Subject

REPO_TO_NBC

Action

CREATE

Requested By

WING BANK (CAMBODIA) PLC.

Requested At

15/08/2022 10:34 AM

Participant

WING BANK (CAMBODIA) PLC.

Reason

N/A

Status

Pending

Module Information

Payload

orderType

name

Repo

Type

SELL

currencyCode

KHR

securitiesQty

20

settlementDateTime

secondarySettlementOption

name

Settle now

cutOffTime

15:11

senderParticipant

fullName

WING BANK (CAMBODIA) PLC.

receiverParticipant

fullName

National Bank of Cambodia

securities

isin

KH-100000XC7-7

issueDateTime

19/07/2022 14:15:00

maturityDate

18/10/2022

daysToMaturity

63.00

productType

NCD

currencyCode

KHR

faceValue

100,000

cancelledBy

rejectedBy

repoPurpose

LPCD

BACK

X REJECT

APPROVE

- Click Approve button, Request Status will change to 'Updated'.

Authorize Request

Authorize Request's detail

Request Information

ID

11973

Subject

REPO_TO_NBC

Action

CREATE

Requested By

WING BANK (CAMBODIA) PLC.

Requested At

15/08/2022 10:34 AM

Participant

WING BANK (CAMBODIA) PLC.

Reason

N/A

Status

Updated

Module Information

Payload

orderType

name

Repo

Type

SELL

currencyCode

KHR

securitiesQty

20

settlementDateTime

secondarySettlementOption

name

Settle now

cutOffTime

15:11

senderParticipant

fullName

WING BANK (CAMBODIA) PLC.

receiverParticipant

fullName

National Bank of Cambodia

securities

isin

KH-100000XC7-7

issueDateTime

19/07/2022 14:15:00

maturityDate

18/10/2022

daysToMaturity

63.00

productType

NCD

currencyCode

KHR

faceValue

100,000

cancelledBy

rejectedBy

repoPurpose

LPCD

Authorizers

15/08/2022 10:48:11

WING BANK (CAMBODIA) PLC.

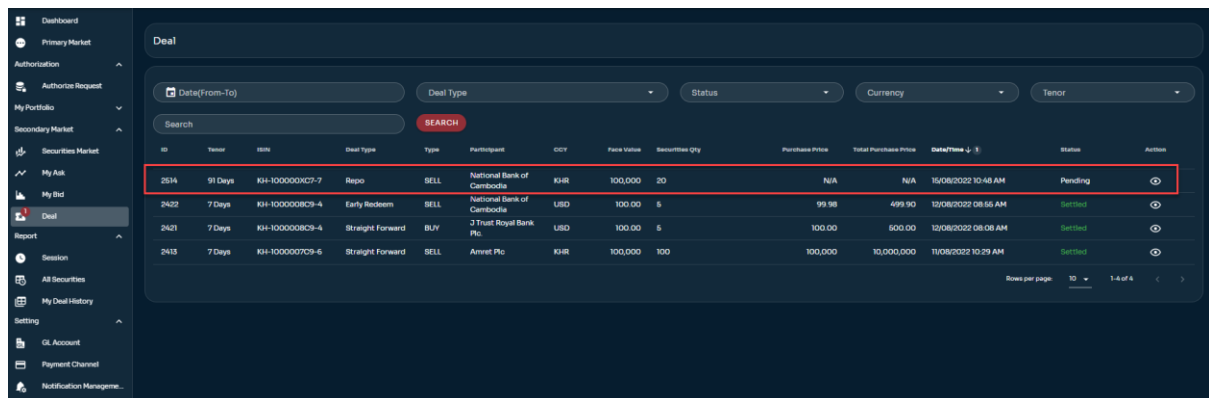
Approved

BACK

31 | Page

Once your authorizer has approved on a request, there will be a deal record.

Go to Menu Secondary market -> Deal

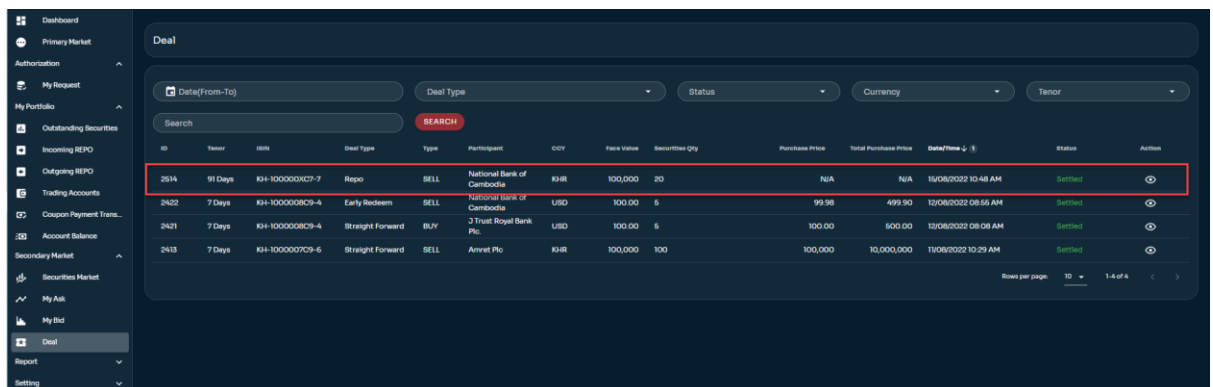


ID	Tenor	ISIN	Deal Type	Type	Participant	CCY	Face Value	Securities Qty	Purchase Price	Total Purchase Price	Date/Time	Status	Action
2314	91 Days	KH-100000XC7-7	Repo	SELL	National Bank of Cambodia	KHR	100,000	20	N/A	N/A	15/08/2022 10:48 AM	Pending	
2422	7 Days	KH-100000BC9-4	Early Redeem	SELL	National Bank of Cambodia	USD	100.00	5	99.98	499.90	12/08/2022 08:56 AM	Settled	
2421	7 Days	KH-100000BC9-4	Straight Forward	BUY	Trust Royal Bank Plc.	USD	100.00	5	100.00	500.00	12/08/2022 08:08 AM	Settled	
2413	7 Days	KH-1000007C9-6	Straight Forward	SELL	Annet Pte	KHR	100,000	100	100,000	10,000,000	11/08/2022 10:29 AM	Settled	

This request is in status 'Pending' – means waiting for NBC confirmation.

After NBC user has confirmed on this Deal, it will be change from status 'Pending' -> 'Confirmed' -> 'Settled'

Note**: If settlement option is 'T+', status must be in 'Confirmed'-waiting for settlement.



ID	Tenor	ISIN	Deal Type	Type	Participant	CCY	Face Value	Securities Qty	Purchase Price	Total Purchase Price	Date/Time	Status	Action
2314	91 Days	KH-100000XC7-7	Repo	SELL	National Bank of Cambodia	KHR	100,000	20	N/A	N/A	15/08/2022 10:48 AM	Settled	
2422	7 Days	KH-100000BC9-4	Early Redeem	SELL	National Bank of Cambodia	USD	100.00	5	99.98	499.90	12/08/2022 08:56 AM	Settled	
2421	7 Days	KH-100000BC9-4	Straight Forward	BUY	Trust Royal Bank Plc.	USD	100.00	5	100.00	500.00	12/08/2022 08:08 AM	Settled	
2413	7 Days	KH-1000007C9-6	Straight Forward	SELL	Annet Pte	KHR	100,000	100	100,000	10,000,000	11/08/2022 10:29 AM	Settled	

- Click on  to view deal details

Deal Log

Deal Information		Seller Information		Deal Log	
ISIN:	KH-100000XC7-7	Participant Name:	WING BANK (CAMBODIA) PLC.	Ticket ID:	2514
Issue Date/Time:	19/07/2022 02:15 PM	Trader:	WING BANK (CAMBODIA) PLC.	Status:	Settled
Maturity Date:	18/10/2022	Email:	wing@gmail.com		
Issue Price:	100,000 KHR	Phone Number:	N/A		
Deal type:	Repo				
Type:	SELL	Buyer Information			
Settlement Option:	Settle now	Participant Name:	National Bank of Cambodia		
Settlement Date/Time:	15/08/2022 10:56 AM	Trader:	nbc-admin-5		
Securities Qty:	20	Email:	nbcadmin5@gmail.com		
Purpose:	LPCO	Phone Number:	N/A		
Description:	Request LPCO 1234 Amount 2,000,000				

CLOSE

After successfully REPO, FI can see an outgoing REPO in My Portfolio -> Outgoing REPO

- Dashboard
- Primary Market
- Authorization
- My Request
- My Portfolio
 - Outstanding Securities
 - Incoming REPO
 - Outgoing REPO
- Trading Accounts
- Coupon Payment Trans...
- Account Balance
- Secondary Market
- Securities Market
- My Ask
- My Bid
- Deal
- Report
- Setting


Outgoing REPO

ID	ISIN	Participant	Product Type	Value Date Of REPO	REPO Maturity Date	CCY	Security Qty	REPO Price	Settlement Date	Status	Action
1411	KH-100000XC7-7	National Bank of Cambodia	NCD	15/08/2022 10:57 AM	N/A	KHR	20	N/A	N/A	Repo	REDEEM

Rows per page: 10 1-1 of 1

TRANSFER TO FI- STRAIGHT FORWARD

This action allows FI to transfer NCD to other participants.

- Click My Portfolio → Outstanding securities -> click this button 
- Show a drop-down list- Bid, Ask, Sell to NBC, Repo to NBC, and Transfer to FI
- Click Transfer to FI – Suppose Wing Bank transfers to Amret Plc.

Wing users initiate the deal (Straight Forward).

Create Deal for Transfer to FI

ISIN:	KH-100000GC7-8
Issue Date/Time:	13/07/2022 08:40 AM
Maturity Date:	12/10/2022
Current Yield (%):	0 %
YTM (%):	0 %
Total Value:	250,000,000
Securities Qty:	2,500

Deal Information

Participants (Code-Name)*

AMRTKHPPXXXX - Amret Plc

X

Deal Type*

Straight Forward

Settlement Option*

Settle now

X

Securities Qty*

100

Price

₹ 1,000,000

Description*

Testing

Total Value:

100,000,000 KHR

CANCEL

NEXT

Deal Information

Participants (Codes-Name): Choose participant FI that user transfers NCD to.

Deal Type: can be Straight Forward or REPO.

Settlement Option: Choose an Option to set deal settlement date time.

Securities Qty: Input number of Security that user wants to transfer

Price: refers to price per Unit of NCD. This price is an agreed price between 2 parties.

Description: can be a note.

Total Value: is amount to be settled.

- Click **NEXT**

Confirm Create Deal for Transfer to Fi

ISIN	KH-100000GC7-8
Issue Date/Time	13/07/2022 08:40 AM
Maturity Date	12/10/2022
Total Value	250,000,000 KHR

Deal Type	Straight Forward
Participant	Amret Plc
Settlement Option	Settle now
Securities Qty	100
Purchase Price	1,000,000 KHR
Total Purchase Price	100,000,000 KHR

Description:

Testing

BACK
CONFIRM

- Click **CONFIRM**

Are you sure to submit this request?

Description (Optional)

CANCEL
SUBMIT

- Click **SUBMIT**, your request will be sent to your authorizer.
- Authorizer goes to menu **Authorization** -> **Authorize Request**

ID	Subject	Action	Requested By	Requested At	Authorizers	Status
9996	TRANSFER_TO_FI	CREATE	WING BANK (CAMBODIA) PLC.	11/08/2022 10:22 AM	1	Pending
10008	SECURITY_SUBSCRIPTION	CREATE	WING BANK (CAMBODIA) PLC.	10/08/2022 08:56 PM	1	Approved
10000	SECURITY_SUBSCRIPTION	CREATE	WING BANK (CAMBODIA) PLC.	10/08/2022 08:47 PM	1	Approved
6052	SECURITIES_BIDDER	CREATE	WING BANK (CAMBODIA) PLC.	01/08/2022 11:12 AM	1	Approved
9998	SECURITY_SUBSCRIPTION	CREATE	WING BANK (CAMBODIA) PLC.	28/07/2022 08:57 AM	1	Approved
4053	SECURITY_SUBSCRIPTION	CREATE	WING BANK (CAMBODIA) PLC.	29/07/2022 08:57 AM	1	Approved
4052	SECURITIES_BIDDER	CREATE	WING BANK (CAMBODIA) PLC.	29/07/2022 08:57 AM	1	Approved
4051	SECURITIES_BIDDER	CREATE	WING BANK (CAMBODIA) PLC.	29/07/2022 08:57 AM	1	Approved
9987	SECURITIES_BIDDER	CREATE	WING BANK (CAMBODIA) PLC.	29/07/2022 08:56 AM	1	Approved
2999	SECURITY_SUBSCRIPTION	CREATE	WING BANK (CAMBODIA) PLC.	28/07/2022 09:11 AM	1	Approved

- Click on 'Pending' Request ID, its subject 'TRANSFER_TO_FI'

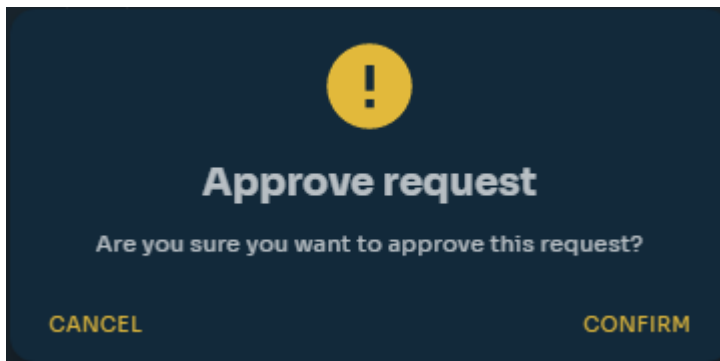
Request Information

ID	9996
Subject	TRANSFER_TO_FI
Action	CREATE
Requested By	WING BANK (CAMBODIA) PLC.
Requested At	11/08/2022 10:22 AM
Participant	WING BANK (CAMBODIA) PLC.
Reason	N/A
Status	Pending

Module Information

Id	0														
senderParticipant	WING BANK (CAMBODIA) PLC.														
receiverParticipant	Amret Plc														
securitiesQty	100														
purchasePrice	100,000														
totalPurchasePrice	10,000,000														
settlementDateTime															
cancelledBy															
rejectedBy															
currencyCode	KHR														
securities	<table> <tr><td>isin</td><td>KH-100000709-6</td></tr> <tr><td>issueDateTime</td><td>10/08/2022 16:07:00</td></tr> <tr><td>maturityDate</td><td>17/08/2022</td></tr> <tr><td>daysToMaturity</td><td>8</td></tr> <tr><td>productType</td><td>NCD</td></tr> <tr><td>currencyCode</td><td>KHR</td></tr> <tr><td>faceValue</td><td>100,000</td></tr> </table>	isin	KH-100000709-6	issueDateTime	10/08/2022 16:07:00	maturityDate	17/08/2022	daysToMaturity	8	productType	NCD	currencyCode	KHR	faceValue	100,000
isin	KH-100000709-6														
issueDateTime	10/08/2022 16:07:00														
maturityDate	17/08/2022														
daysToMaturity	8														
productType	NCD														
currencyCode	KHR														
faceValue	100,000														
status	Pending														
orderType	Straight Forward														
type	SELL														

- Click Button 'APPROVE'



- Click CONFIRM , Status will be changed from 'Pending' to 'Updated'

Go to Menu Secondary market -> Deal

ID	Tenor	ISIN	Deal Type	Type	Participant	CCY	Face Value	Securities Qty	Purchase Price	Total Purchase Price	Date/Time	Status	Action
2413	7 Days	KH-1000007C9-6	Straight Forward	SELL	Amret Plc	KHR	100,000	100	100,000	10,000,000	11/08/2022 10:29 AM	Pending	

User see a pending Deal. Click on  button to view Deal Log

Deal Log

Deal Information		Seller Information		Deal Log	
ISIN:	KH-1000007C9-6	Participant Name:	WING BANK (CAMBODIA) PLC.	Ticket ID:	2413
Issue Date/Time:	10/08/2022 04:07 PM	Trader:	WING BANK (CAMBODIA) PLC.	Status:	Pending
Maturity Date:	17/08/2022	Email:	wing@gmail.com	<div style="display: flex; align-items: center;"> <div style="width: 10px; height: 10px; background-color: white; border-radius: 50%; margin-right: 5px;"></div> <div> <p style="margin: 0; font-weight: bold; color: white;">Deal has been created</p> <p style="margin: 0; font-size: 0.8em;">11/08/2022 10:29 AM</p> </div> </div>	
Issue Price:	100,000 KHR	Phone Number:	N/A		
Deal type:	Straight Forward				
Type:	SELL				
Settlement Option:	Settle now				
Settlement Date/Time:	N/A				
Securities Qty:	100				
Purchase Price:	100,000 KHR				
Total Purchase Price:	10,000,000 KHR				
Description:	testing				

CLOSE

Status shows 'Deal has been created', this means Deal is initiated and waiting for another party to confirm.

Amret users confirm the deal receive from Wing Bank.

Go to Menu Securities market -> Deal

- Dashboard
- Primary Market
- Authentication
- My Request
- My Portfolio
- Outstanding Securities
- Incoming REPO
- Outgoing REPO
- Trading Accounts
- Coupon Payment Trans...
- Account Balance
- Securities Market
- My Ask
- My Bid
- Deal**
- Report
- Session
- All Securities
- My Deal History

Deal

ID	Tenor	ISIN	Deal Type	Type	Participant	CCY	Face Value	Securities Qty	Purchase Price	Total Purchase Price	Date/Time	Status	Action
2413	7 Days	KH-1000007C9-6	Straight Forward	BUY	WING BANK (CAMBODIA) PLC.	KHR	100,000	100	100,000	10,000,000	11/08/2022 10:29 AM	Pending	
2201	14 Days	KH-1000001C9-9	Early Redeem	SELL	National Bank of Cambodia	KHR	100,000	5	99,998	499,988	09/08/2022 02:18 PM	Unsettled	
2014	3 Years	KH-3000013C9-0	Straight Forward	SELL	Ching Mong Commercial Bank Plc.	KHR	1,000,000	30	1,000,000	30,000,000	09/08/2022 08:41 AM	Settled	
2018	3 Years	KH-3000013C9-0	Straight Forward	SELL	Advanced Bank of Asia Limited	KHR	1,000,000	20	1,000,000	20,000,000	09/08/2022 08:18 AM	Settled	
1866	14 Days	KH-1000001C9-9	Early Redeem Repo	BUY	National Bank of Cambodia	KHR	100,000	10	N/A	N/A	06/08/2022 03:53 PM	Settled	
1866	14 Days	KH-1000001C9-9	Early Redeem Repo	BUY	National Bank of Cambodia	KHR	100,000	10	N/A	N/A	06/08/2022 03:53 PM	Cancelled	
1864	14 Days	KH-1000001C9-9	Repo	SELL	National Bank of Cambodia	KHR	100,000	10	N/A	N/A	06/08/2022 03:11 PM	Settled	
1841	10 Years	KH-3000013C8-8	Repo	SELL	Canadia Bank Plc.	KHR	1,000,000	30	100,000	3,000,000	04/08/2022 02:53 PM	Settled	
1638	5 Years	KH-3000018C7-1	Early Redeem Repo	SELL	J Trust Royal Bank Plc.	KHR	1,000,000	30	100,000	3,000,000	03/08/2022 08:41 AM	Settled	
1609	91 Days	KH-1000000C7-8	Early Redeem Repo	SELL	J Trust Royal Bank Plc.	KHR	100,000	25	100,100	2,502,500	03/08/2022 08:41 AM	Settled	

Rows per page: 10 1-10 of 18

Wing user will see a pending Deal, Click on button

Deal Log

Deal Information		Seller Information		Deal Log	
ISIN:	KH-100007C9-6	Participant Name:	WING BANK (CAMBODIA) PLC.	Ticket ID:	2413
Issue Date/Time:	10/08/2022 04:07 PM	Trader:	WING BANK (CAMBODIA) PLC.	Status:	Pending
Maturity Date:	17/08/2022	Email:	wing@gmail.com	<div>●</div> <p>Deal has been created 11/08/2022 10:29 AM</p>	
Issue Price:	100,000 KHR	Phone Number:	N/A		
Deal type:	Straight Forward				
Type:	BUY	Buyer Information			
Settlement Option:	Settle now	Participant Name:	Amret Plc		
Settlement Date/Time:	N/A	Trader:	N/A		
Securities Qty:	100	Email:	N/A		
Purchase Price:	100,000 KHR	Phone Number:	N/A		
Total Purchase Price:	10,000,000 KHR				
Description:	testing				

⌛ Waiting For Your Respond
REJECT CONFIRM

CLOSE

If user at Amret agree on deal requested by wing, user click on button CONFIRM

Or can reject by click on button REJECT

Are you sure to submit this request?

Description (Optional)

CANCEL
SUBMIT

- Click **SUBMIT**, request will be submitted to authorizer.
- Authorizer goes to menu **Authorization** -> **Authorize Request**

- Dashboard
- Primary Market
- Authorization
 - Authorize Request**
- My Portfolio
- Outstanding Securities
- Incoming REPO
- Outgoing REPO
- Trading Accounts
- Coupon Payment Trans...
- Account Balance
- Secondary Market
- My Ask
- My Bid
- Deal
- Report
- Session
- All Securities
- My Deal History
- Setting
- Payment Channel
- Notification Manage...

Authorize Request

Requested At (From-To)
Status
Action
Search
SEARCH

ID	Subject	Action	Requested By	Requested At	Authorizers	Status
9999	DEAL	UPDATE	Amret Plc	11/08/2022 10:53 AM	1	Pending
9994	SECURITY_SUBSCRIPTION	CREATE	Amret Plc	11/08/2022 10:10 AM	1	Approved
10029	SECURITY_SUBSCRIPTION	CREATE	Amret Plc	10/08/2022 03:57 PM	1	Approved
10023	SECURITY_SUBSCRIPTION	CREATE	Amret Plc	10/08/2022 03:18 PM	1	Approved
9981	EARLY_REDEEM	CREATE	Amret Plc	09/08/2022 02:17 PM	1	Approved
9019	DEAL	UPDATE	Amret Plc	09/08/2022 08:41 AM	1	Approved
9022	DEAL	UPDATE	Amret Plc	09/08/2022 08:34 AM	1	Approved
9020	ASK_ORDER_F1	CREATE	Amret Plc	09/08/2022 08:16 AM	1	Approved
9012	SECURITIES_BIDDER	CREATE	Amret Plc	09/08/2022 01:54 PM	1	Approved
9061	TRANSFER	CREATE	Amret Plc	09/08/2022 09:12 AM	1	Approved

Rows per page: 10 1-10 of 13

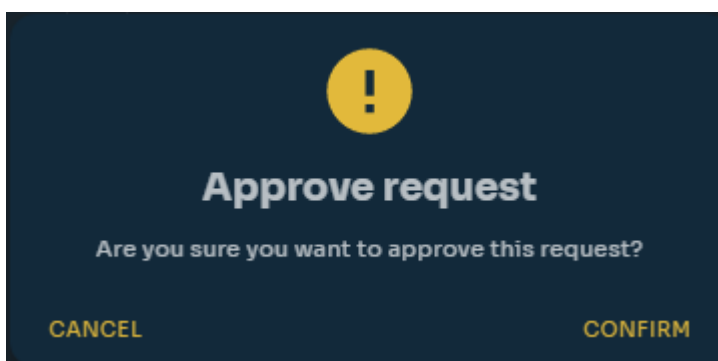
- Click on 'Pending' Request ID, its subject 'DEAL'

Authorize Request > Authorize Request's detail

Request Information		Module Information	
ID	9998	Payload	
Subject	DEAL	orderType	
Action	UPDATE	name	Straight Forward
Requested By	Amret Plc	type	BUY
Requested At	11/08/2022 10:53 AM	currencyCode	KHR
Participant	Amret Plc	securitiesQty	100
Reason	N/A	purchasePrice	100,000
Status	Pending	totalPurchasePrice	10,000,000
		settlementDateTime	
		secondarySettlementOption	
		name	Settle now
		cutOffTime	15:01
		senderParticipant	
		fullName	WING BANK (CAMBODIA) PLC.
		receiverParticipant	
		fullName	Amret Plc
		securities	
		isin	KH-1000007C9-6
		issueDateTime	10/08/2022 16:07:00
		maturityDate	17/08/2022
		daysToMaturity	5
		productType	NCD
		currencyCode	KHR
		faceValue	100,000
		cancelledBy	
		rejectedBy	

BACK X REJECT APPROVE

- Click Button 'APPROVE'



- Click **CONFIRM** , Status will be changed from 'Pending' to 'Updated'
- Once Deal has been confirm and authorized by Amret. Deal will be settled immediately (settlement option= settle Now).
- Go to Securities Market -> Deal (Deal ID 2413)

ID	Tenor	ISIN	Deal Type	Type	Participant	CCY	Rate Value	Securities Qty	Purchase Price	Total Purchase Price	Date/Time	Status	Action
2413	7 Days	KH-1000007C9-6	Straight Forward	BUY	WING BANK (CAMBODIA) PLC.	KHR	100,000	100	100,000	10,000,000	11/08/2022 10:29 AM	Settled	
2201	14 Days	KH-1000001C9-9	Early Redem	SELL	National Bank of Cambodia	KHR	100,000	5	99,998	499,998	09/08/2022 02:18 PM	Unsettled	
2014	3 Years	KH-3000013C9-0	Straight Forward	SELL	Chap Mong Commercial Bank Plc.	KHR	1,000,000	30	1,000,000	30,000,000	09/08/2022 06:41 AM	Settled	
2013	3 Years	KH-3000013C9-0	Straight Forward	SELL	Advanced Bank of Asia Limited	KHR	1,000,000	20	1,000,000	20,000,000	09/08/2022 08:18 AM	Settled	
1856	14 Days	KH-1000001C9-9	Early Redem	BUY	National Bank of Cambodia	KHR	100,000	10	N/A	N/A	09/08/2022 03:53 PM	Settled	
1855	14 Days	KH-1000001C9-9	Early Redem	BUY	National Bank of Cambodia	KHR	100,000	10	N/A	N/A	09/08/2022 03:53 PM	Cancelled	
1854	14 Days	KH-1000001C9-9	Repo	SELL	National Bank of Cambodia	KHR	100,000	10	N/A	N/A	09/08/2022 03:11 PM	Settled	
1841	10 Years	KH-3000012C8-8	Repo	SELL	Canada Bank Plc.	KHR	1,000,000	30	100,000	3,000,000	09/08/2022 02:53 PM	Settled	
1638	5 Years	KH-3000018C7-1	Early Redem	SELL	J Trust Royal Bank Plc.	KHR	1,000,000	30	100,000	3,000,000	03/08/2022 08:41 AM	Settled	
1629	91 Days	KH-1000000C7-8	Early Redem	SELL	J Trust Royal Bank Plc.	KHR	100,000	25	100,000	2,500,000	03/08/2022 08:41 AM	Settled	

- Click on view button



Deal Log		
Deal Information ISIN: KH-1000007C9-6 Issue Date/Time: 10/08/2022 04:07 PM Maturity Date: 17/08/2022 Issue Price: 100,000 KHR Deal type: Straight Forward Type: BUY Settlement Option: Settle now Settlement Date/Time: 11/08/2022 10:58 AM Securities Qty: 100 Purchase Price: 100,000 KHR Total Purchase Price: 10,000,000 KHR Description: testing	Seller Information Participant Name: WING BANK (CAMBODIA) PLC. Trader: WING BANK (CAMBODIA) PLC. Email: wing@gmail.com Phone Number: N/A Buyer Information Participant Name: Amret Pic Trader: Amret Pic Email: amret@gmail.com Phone Number: +856 23 880 942	Deal Log Ticket ID: 2413 Status: Settled <ul style="list-style-type: none"> Deal has been settled successfully 11/08/2022 10:58 AM Deal is processing 11/08/2022 10:58 AM Deal has been created 11/08/2022 10:29 AM

Both parties can view this Deal log for trade information.

TRANSFER TO FI- REPO TYPE

This action allows FI to transfer NCD to other participants binding with an agreement on reverse repurchase.

- Click My Portfolio → Outstanding securities -> click this button
- Show a drop-down list- Bid, Ask, Sell to NBC, Repo to NBC, and Transfer to FI
- Click Transfer to FI – **Example: ABA Bank repo to Wing.**



ABA users initiate the deal (REPO)

Deal Information

Participants (Codes-Name): Choose participant FI that user transfers NCD to.

Deal Type: REPO

Settlement Option: Settle Now

Repo Maturity Settlement Time: refers to time setting to do reverse repo.

Repo Maturity Date: Refers to reverse repo date.

Securities Qty: Input number of Security that user wants to place repo

Repo Price: refers to price per Unit of NCD. This price is an agreed price between 2 parties.

Redeem Repo Price Per Qty: an agreement price to reversed repurchase the NCD

Description: can be a note.

Total Purchase Price: is amount to be settled.

Total Redeem Repo Price: Total Amount to be settled on reversed Date.

Create Deal for Transfer to FI

ISIN	KH-100001NCC-9
Issue Date/Time	26/05/2022 12:00 AM
Maturity Date	25/08/2022
Total Value	3,699,000.00
Securities Qty	36,990

Deal Information

Participants (Code-Name)* ABAAKHPPXXX - Advance X	Deal Type* Repo
Settlement Option* Settle now X	Repo Maturity Settlement Time* 🕒 04:30 PM X
Repo Maturity Date* 📅 12/08/2022 X	Securities Qty* 5
Repo Price \$ 100.00	Redeem Repo Price Per Qty \$ 100.00
Total Purchase Price	500.00 USD
Total Redeem Repo Price	500.00 USD

CANCELNEXT

- Click **NEXT**

Confirm Create Deal for Transfer to Fi

ISIN	KH-100001NCC-9
Issue Date/Time	26/05/2022 12:00 AM
Maturity Date	25/08/2022
Total Value	3,699,000.00 USD
Deal Type	Repo
Participant	Advanced Bank of Asia Limited
Settlement Option	Settle now
Repo Maturity Date	12/08/2022
Repo Maturity Settlement Time:	04:30 PM
Securities Qty	5
Purchase Price	100.00 USD
Redeem Repo Price Per Qty	100.00 USD
Total Purchase Price	500.00 USD
Total Redeem Repo Price	500.00 USD

BACK
CONFIRM

- Click **CONFIRM**

Are you sure to submit this request?

Description (Optional)

CANCEL
SUBMIT

- Click **SUBMIT**, your request will be submitted to your authorizer.
- Authorizer goes to menu **Authorization** ->**Authorize Request**

ID	Subject	Action	Requested By	Requested At	Amount	Status
3406	TRANSFER_TO_FI	CREATE	WING migration inputter	11/08/2022 03:44 PM	1	Pending
3407	DEAL	UPDATE	WING migration inputter	11/08/2022 03:37 PM	1	Approved
3404	TRANSFER	CREATE	WING migration inputter	11/08/2022 03:35 PM	1	Approved
3405	DEAL	UPDATE	WING migration inputter	11/08/2022 03:28 PM	1	Approved
3402	DEAL	UPDATE	WING migration inputter	11/08/2022 03:26 PM	1	Approved
3403	DEAL	UPDATE	WING migration inputter	11/08/2022 03:12 PM	1	Approved
3401	REFOL_TO_MRG	CREATE	WING migration inputter	11/08/2022 03:10 PM	1	Approved
1031	SECURITY_SUBSCRIPTION	CREATE	WING migration inputter	11/07/2022 11:12 PM	1	Approved
1032	SECURITY_SUBSCRIPTION	CREATE	WING migration inputter	11/07/2022 10:25 PM	1	Approved

- Click on 'Pending' Request ID, its subject 'TRANSFER_TO_FI'

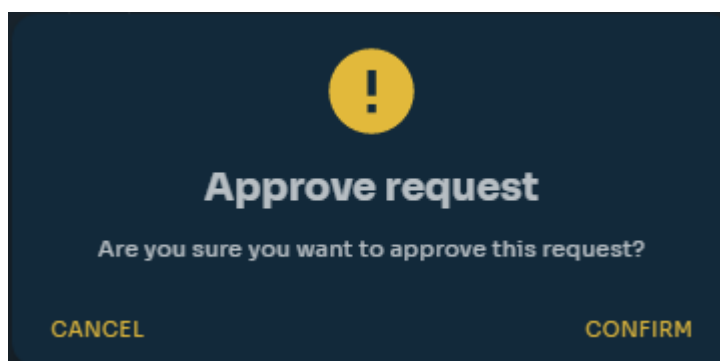
Request Information

ID: 3406
 Subject: TRANSFER_TO_FI
 Action: CREATE
 Requested By: WING migration inputter
 Requested At: 11/08/2022 03:44 PM
 Participant: WING BANK (CAMBODIA) PLC.
 Reason: N/A
 Status: Pending

Module Information

orderType: Normal
 Name:
 RequestMaturityDate: 12/08/2023
 RequestMaturityPrice:
 RequestMaturityTime:
 PurchasePrice: 100
 CancellationFee: 100
 Type: SELL
 Amount:
 TotalValue: 1,700,000
 SubscriptionDiscountRate: 0
 TenorMaturityDays: 91
 TenorName:
 MaturityDateTime: 28/08/2022 00:00:00
 DaysToMaturity: 13
 TenorId: 5
 TenorValue: 100
 TenorDateTime: 26/08/2022 00:00:13

- Click Button 'APPROVE'



- Click **CONFIRM** , Status will be changed from 'Pending' to 'Updated'

Authorize Request > Authorize Request's detail

Request Information

ID: 3406

Subject: TRANSFER_TO_FI

Action: CREATE

Requested By: WING migration Inputter

Requested At: 11/08/2022 03:44 PM

Participant: WING BANK (CAMBODIA) PLC.

Reason: N/A

Status: Updated

Authorizers:

11/08/2022 15:48:00
WING migration_Authorizer
Approved


Go to Menu Secondary market -> Deal

Deal

Search: Data/From-To Deal Type Status Currency Tenor

ID	Tenor	ISIN	Deal Type	Type	Participant	CCY	Face Value	Securities Qty	Purchase Price	Deal/Time 1-3	Status	Action
1551	91 Days	KH-100001NCC-9	Repo	SELL	Advanced Bank of Asia Limited	USD	100.00	5	100.00	11/08/2022 03:48 PM	Pending	👁
1540	182 Days	KH-100001NCC-2	Repo	BUY	Advanced Bank of Asia Limited	USD	100.00	5	100.00	11/08/2022 03:37 PM	Unsettled	👁
1549	182 Days	KH-100001NCC-2	Repo	BUY	Advanced Bank of Asia Limited	USD	100.00	5	100.00	11/08/2022 03:37 PM	Unsettled	👁
1539	182 Days	KH-100001NCC-2	Repo	BUY	Advanced Bank of Asia Limited	USD	100.00	10	100.00	11/08/2022 02:24 PM	Unsettled	👁
1548	182 Days	KH-100001NCC-2	Repo	BUY	Advanced Bank of Asia Limited	USD	100.00	100	100.00	11/08/2022 02:08 PM	Unsettled	👁
1538	91 Days	KH-100001NCC-9	Repo	SELL	National Bank of Cambodia	USD	100.00	10	100.00	11/08/2022 01:54 PM	Unsettled	👁

Reset and page 10 1 of 5

Users see a pending Deal. Click on  button to view Deal Log

Deal Log

Deal Information

ISIN: KH-100001NCC-9

Issue Date/Time: 26/05/2022 12:00 AM

Maturity Date: 25/08/2022

Issue Price: 100.00 USD

Deal type: Repo

Type: SELL

Participant Name: Advanced Bank of Asia Limited

Settlement Option: Settle now

Settlement Date/Time: N/A

Securities Qty: 5

Price: 100.00 USD

Repo Maturity Date: 12/08/2022

Redeem Repo Price: 100.00 USD

Deal Log

Ticket ID: 1551

Status: Pending

Deal has been created
11/08/2022 03:48 PM

🔔 Pending Respond

CLOSE CANCEL

Status shows 'Deal has been created'-means Deal is initiated and waiting for another party to confirm.

Wing users confirm the deal receive from ABA Bank

Go to Menu Securities market -> Deal

ID	Name	Issuance Date	Deal Type	Type	Participant	Currency	Price	Securities Qty	Purchase Price	Maturity Date	Status	Action
1551	91 Days	KH-100001NCC-9	Repo	BUY	WING BANK (CAMBODIA) PLC.	USD	100.00	5	100.00	11/08/2022 03:48 PM	Pending	
1540	182 Days	KH-100001NCC-2	Repo	SELL	WING BANK (CAMBODIA) PLC.	USD	100.00	5	100.00	11/08/2022 03:47 PM	Unsettled	
1549	182 Days	KH-100001NCC-2	Repo	SELL	WING BANK (CAMBODIA) PLC.	USD	100.00	5	100.00	11/08/2022 03:47 PM	Unsettled	
1549	182 Days	KH-100001NCC-2	Repo	SELL	WING BANK (CAMBODIA) PLC.	USD	100.00	10	100.00	11/08/2022 03:24 PM	Unsettled	
1545	182 Days	KH-100001NCC-2	Repo	SELL	WING BANK (CAMBODIA) PLC.	USD	100.00	100	100.00	11/08/2022 03:08 PM	Unsettled	
1482	182 Days	KH-100001NCC-4	Repo	SELL	National Bank of Cambodia	USD	100.00	10	100.000000	10/08/2022 02:05 PM	Pending	
1483	182 Days	KH-100001NCC-4	Repo	SELL	National Bank of Cambodia	USD	100.00	100	100.000000	10/08/2022 01:01 PM	Pending	
971	91 Days	KH-100001NCC-7	Repo	SELL	National Bank of Cambodia	USD	100.00	0.083	100.00	10/07/2022 01:02 AM	Settled	
869	182 Days	KH-100001NCC-5	Repo	SELL	National Bank of Cambodia	USD	100.00	10.140	100.00	10/07/2022 01:01 AM	Settled	
887	184 Days	KH-100001NCC-9	Repo	SELL	National Bank of Cambodia	USD	100.00	10.279	100.00	10/07/2022 01:01 AM	Settled	

Wing user will see a pending Deal, Click on  button

Deal Information

ISIN: KH-100001NCC-9

Issue Date/Time: 26/05/2022 12:00 AM

Maturity Date: 25/08/2022

Issue Price: 100.00 USD

Deal type: Repo

Type: BUY

Participant Name: WING BANK (CAMBODIA) PLC.

Settlement Option: Settle now

Settlement Date/Time: N/A

Securities Qty: 5

Price: 100.00 USD

Repo Maturity Date: 12/08/2022

Redeem Repo Price: 100.00 USD

Deal Log

Ticket ID: 1551

Status: Pending

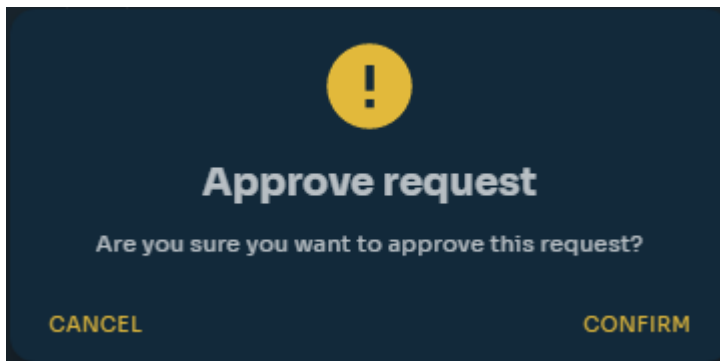
Deal has been created
11/08/2022 03:48 PM

Waiting For Your Response

REJECT CONFIRM

If wing user agrees on deal requested by ABA, user click on button 

Or can reject by click on button 



- Click CONFIRM , Status will be changed from 'Pending' to 'Updated'
- Once Deal has been confirmed and authorized by ABA. Deal will be settled immediately (settlement option= settle Now).
- Go to Securities Market -> Deal (Deal ID 1551)

ID	Issue Date	ISIN	Issue Type	Type	Participant	CNY	Issue Price	Securities Qty	Issue Price	Issue Time	Status	Action
1551	26/05/2022	KH-100001NCC-9	Repo	BUY	WING BANK (CAMBODIA) PLC.	USD	100.00	5	100.00	11/08/2022 03:48 PM	Settled	ⓘ
1540	18/02/2022	KH-100001NCC-2	Repo	SELL	WING BANK (CAMBODIA) PLC.	USD	100.00	5	100.00	11/08/2022 03:47 PM	Unsettled	ⓘ
1549	18/02/2022	KH-100001NCC-2	Repo	SELL	WING BANK (CAMBODIA) PLC.	USD	100.00	5	100.00	11/08/2022 03:47 PM	Unsettled	ⓘ
1539	18/02/2022	KH-100001NCC-2	Repo	SELL	WING BANK (CAMBODIA) PLC.	USD	100.00	10	100.00	11/08/2022 03:46 PM	Settled	ⓘ
1548	18/02/2022	KH-100001NCC-2	Repo	SELL	WING BANK (CAMBODIA) PLC.	USD	100.00	100	100.00	11/08/2022 03:46 PM	Unsettled	ⓘ
1492	18/02/2022	KH-100001NCC-4	Repo	SELL	National Bank of Cambodia	USD	100.00	100	100.00	11/08/2022 03:46 PM	Pending	ⓘ
1489	18/02/2022	KH-100001NCC-4	Repo	SELL	National Bank of Cambodia	USD	100.00	100	100.00	11/08/2022 03:46 PM	Pending	ⓘ
071	01/05/2022	KH-100001NCC-7	Repo	SELL	National Bank of Cambodia	USD	100.00	1,190	100.00	10/07/2022 01:02 AM	Settled	ⓘ
069	18/02/2022	KH-100001NCC-3	Repo	SELL	National Bank of Cambodia	USD	100.00	10,140	100.00	10/07/2022 01:02 AM	Settled	ⓘ
067	26/05/2022	KH-100001NCC-8	Repo	SELL	National Bank of Cambodia	USD	100.00	20,870	100.00	10/07/2022 01:02 AM	Settled	ⓘ

- Click on view button



Deal Information	
ISIN:	KH-100001NCC-9
Issue Date/Time:	26/05/2022 12:00 AM
Maturity Date:	25/08/2022
Issue Price:	100.00 USD
Deal type:	Repo
Type:	BUY
Participant Name:	WING BANK (CAMBODIA) PLC.
Settlement Option:	Settle now
Settlement Date/Time:	11/08/2022 03:57 PM
Securities Qty:	5
Price:	100.00 USD
Repo Maturity Date:	12/08/2022
Redeem Repo Price:	100.00 USD

Deal Log	
Ticket ID:	1551
Status:	Settled
<ul style="list-style-type: none"> ● Deal has been settled successfully 11/08/2022 03:57 PM ● Deal is processing 11/08/2022 03:57 PM ● Deal has been created 11/08/2022 03:48 PM 	

Both parties can view this Deal log for trade information.

4.3.2. INCOMING REPO

This function allows FI to view securities, FI has bought in REPO from other participants, and to release/Reverse Repo.

Click on My portfolio-> Incoming Repo

ID	ISIN	Participant	Product Type	Value Date of REPO	REPO Maturity Date	CDT	Securities Qty	REPO Price	Business REPO Price	Status	Action
848	KH-100001M29-2	Advanced Bank of Asia Limited	NCD	15/06/2022 02:25 PM	15/06/2022	USD	10	100.00	100.00	Repo	RELEASE

4.3.3. OUTGOING REPO

This function allows FI to view securities, FI has sold in REPO to other participants, and to redeem Repo.

- Click on My portfolio -> Outgoing Repo

ID	ISIN	Participant	Product Type	Value Date of REPO	REPO Maturity Date	CDT	Securities Qty	REPO Price	Business REPO Price	Status	Action
249	KH-100001M29-2	WING BANK (CAMBODIA) PLC	NCD	15/06/2022 02:25 PM	15/06/2022	USD	10	100.00	100.00	Repo	REDEEM
233	KH-100001M29-7	National Bank of Cambodia	NCD	15/07/2022 01:00 AM	01/08/2022	USD	5,000	100.00	100.00	Repo	REDEEM
242	KH-100001M29-8	National Bank of Cambodia	NCD	15/07/2022 01:00 AM	01/08/2022	USD	10,140	100.00	100.00	Repo	REDEEM
238	KH-100001M29-9	National Bank of Cambodia	NCD	15/07/2022 01:01 AM	01/08/2022	USD	80,370	100.00	100.00	Repo	REDEEM
223	KH-100001M29-6	National Bank of Cambodia	NCD	15/07/2022 01:00 AM	01/08/2022	USD	20,320	100.00	100.00	Repo	REDEEM
217	KH-100001M29-1	National Bank of Cambodia	NCD	15/07/2022 01:00 AM	01/08/2022	USD	10,170	100.00	100.00	Repo	REDEEM
199	KH-100001M29-3	National Bank of Cambodia	NCD	15/07/2022 12:09 AM	01/08/2022	USD	5,100	100.00	100.00	Repo	REDEEM
195	KH-100001M29-2	National Bank of Cambodia	NCD	15/07/2022 12:07 AM	01/08/2022	USD	10,180	100.00	100.00	Repo	REDEEM
190	KH-100001M29-3	National Bank of Cambodia	NCD	15/07/2022 12:07 AM	01/08/2022	USD	5,100	100.00	100.00	Repo	REDEEM
189	KH-100001M29-6	National Bank of Cambodia	NCD	15/07/2022 12:07 AM	01/08/2022	USD	10,190	100.00	100.00	Repo	REDEEM

4.3.4. EARLY REDEEM/ RELEASE REPO

- **Early Redeem:** refers to repurchase securities before its repo maturity date. This can be made by sellers from their Outgoing REPO list.

- click on My portfolio -> Outgoing Repo -> Click Redeem

Outgoing REPO

Value Date Of REPO(From-To) REPO Maturity Date(From-To) Currency Status Search

Select Filter

SEARCH

ID	ISIN	Participant	Product Type	Value Date Of REPO < 1	REPO Maturity Date	CCY	Security Qty	REPO Price	Outgoing Repo Price	Status	Action
1419	KH-100000EC9-6	AEON (Specialized Bank/Cambodia) Plc	NCD	16/08/2022 02:59 PM	19/08/2022	KHR	11	100,000	100,000	Repo	REDEEM
1406	KH-100000KCS-3	National Bank of Cambodia	NCD	16/08/2022 02:39 PM	N/A	KHR	2	N/A	N/A	Redeemed	REDEEM
1411	KH-100000KCF-7	National Bank of Cambodia	NCD	16/08/2022 10:57 AM	N/A	KHR	30	N/A	N/A	Repo	REDEEM

Rows per page: 10 1 of 3

Click **REDEEM** and input description

Confirm Redeem Outgoing Repo

Description (Optional)

REQUEST TO AEON TO RELEASE REPO ISINI EC96

CLOSE **CONFIRM**

Click **CONFIRM**

Are you sure to submit this request?

Description (Optional)

CANCEL **SUBMIT**

Click **SUBMIT**

Authorizer go to **Authorization -> Authorize Request** - click on **Pending ID**

Authorize Request

Requested At(From-To) Status Action Search **SEARCH**

ID	Subject	Action	Requested By	Requested At < 1	Authorizers	Status
10088	EARLY_REDEEM_REPO	CREATE	WING BANK (CAMBODIA) P.L.C.	16/08/2022 03:23 PM	1	Pending
10086	TRANSFER_TO_FI	CREATE	WING BANK (CAMBODIA) P.L.C.	16/08/2022 03:04 PM	1	Approved
10074	EARLY_REDEEM_REPO	CREATE	WING BANK (CAMBODIA) P.L.C.	16/08/2022 04:21 PM	1	Approved
10073	DEAL	UPDATE	WING BANK (CAMBODIA) P.L.C.	16/08/2022 04:18 PM	1	Approved
10072	DEAL	UPDATE	WING BANK (CAMBODIA) P.L.C.	16/08/2022 04:08 PM	1	Approved
10066	TRANSFER_TO_FI	CREATE	WING BANK (CAMBODIA) P.L.C.	16/08/2022 02:36 PM	1	Approved
10046	REPO_TO_NBC	CREATE	WING BANK (CAMBODIA) P.L.C.	16/08/2022 02:34 PM	1	Approved
10044	EARLY_REDEEM	CREATE	WING BANK (CAMBODIA) P.L.C.	16/08/2022 02:34 PM	1	Approved
10043	ASK_ORDER_FI	CREATE	WING BANK (CAMBODIA) P.L.C.	16/08/2022 02:34 PM	1	Approved
10046	BID_ORDER_FI	CREATE	WING BANK (CAMBODIA) P.L.C.	16/08/2022 02:33 PM	1	Approved

Rows per page: 10 1 of 41

Authorize Request

Authorize Request's detail

Request Information

ID

12088

Subject

EARLY_REDEEM_REPO

Action

CREATE

Requested By

WING BANK (CAMBODIA) PLC.

Requested At

16/08/2022 03:23 PM

Participant

WING BANK (CAMBODIA) PLC.

Reason

N/A

Status

Pending

Module Information

Payload

orderType

Early Redeem Repo

name

Early Redeem Repo

type

BUY

currencyCode

KHR

securitiesQty

11

purchasePrice

100,010

totalPurchasePrice

1,100,110

settlementDateTime

secondarySettlementOption

name

Settle now

cutOffTime

15:11

senderParticipant

fullName

AEON Specialized Bank(Cambodia) Plc

receiverParticipant

fullName

WING BANK (CAMBODIA) PLC.

securities

isin

KH-100000EC9-6

issueDateTime

13/08/2022 14:37:00

maturityDate

20/08/2022

daysToMaturity

3

productType

NCD

currencyCode

KHR

faceValue

100,000

cancelledBy

rejectedBy

BACK

X REJECT

APPROVE

Click **APPROVE**

Authorize Request

Authorize Request's detail

Request Information

ID

12088

Subject

EARLY_REDEEM_REPO

Action

CREATE

Requested By

WING BANK (CAMBODIA) PLC.

Requested At

16/08/2022 03:23 PM

Participant

WING BANK (CAMBODIA) PLC.

Reason

N/A

Status

Updated

Authorizers

16/08/2022 15:35:57

WING BANK (CAMBODIA) PLC.

Approved

Module Information

Payload

orderType

Early Redeem Repo

name

Early Redeem Repo

type

BUY

currencyCode

KHR

securitiesQty

11

purchasePrice

100,010

totalPurchasePrice

1,100,110

settlementDateTime

secondarySettlementOption

name

Settle now

cutOffTime

15:11

senderParticipant

fullName

AEON Specialized Bank(Cambodia) Plc

receiverParticipant

fullName

WING BANK (CAMBODIA) PLC.

securities

isin

KH-100000EC9-6

issueDateTime

13/08/2022 14:37:00

maturityDate

20/08/2022

daysToMaturity

3

productType

NCD

currencyCode

KHR

faceValue

100,000

cancelledBy

rejectedBy

BACK

After request has been authorized, there will be a record 'Pending' in Deal Menu.

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ID	Tenor	ISIN	Deal Type	Type	Participant	CCY	Face Value	Securities Qty	Purchase Price	Total Purchase Price	Settle/Time	Status	Action
2615	7 Days	KH-100000EC9-6	Early Redeem Repo	BUY	AEON Specialized Bank(Cambodia) Plc	KHR	100,000	11	100,010	1,100,110	16/08/2022 03:35 PM	Pending	
2566	7 Days	KH-100000EC9-6	Repo	SELL	AEON Specialized Bank(Cambodia) Plc	KHR	100,000	11	100,000	1,100,000	16/08/2022 02:56 PM	Settled	
2560	7 Days	KH-100000EC9-6	Early Redeem Repo	SELL	Arrest Plc	KHR	100,000	8	100,000	800,000	16/08/2022 04:22 PM	Settled	
2549	7 Days	KH-100000EC9-6	Repo	BUY	Arrest Plc	KHR	100,000	8	100,000	800,000	16/08/2022 04:18 PM	Settled	
2556	7 Days	KH-100000H29-3	Early Redeem Repo	BUY	National Bank of Cambodia	KHR	100,000	2	N/A	N/A	16/08/2022 04:02 PM	Settled	
2535	7 Days	KH-100000H29-3	Straight Forward	SELL	Arrest Plc	KHR	100,000	8	100,000	800,000	16/08/2022 02:37 PM	Settled	
2530	7 Days	KH-100000H29-3	Repo	SELL	National Bank of Cambodia	KHR	100,000	2	N/A	N/A	16/08/2022 02:36 PM	Settled	
2534	7 Days	KH-100000H29-3	Early Redeem	SELL	National Bank of Cambodia	KHR	100,000	2	99,996	199,992	16/08/2022 02:36 PM	Settled	
2614	91 Days	KH-100000H27-7	Repo	SELL	National Bank of Cambodia	KHR	100,000	20	N/A	N/A	16/08/2022 10:48 AM	Settled	
2602	7 Days	KH-100000H29-4	Early Redeem	SELL	National Bank of Cambodia	USD	100,00	5	99,98	499,90	16/08/2022 08:56 AM	Settled	

Go to Secondary Market-> click Deal and click on



Deal Information

ISIN: **KH-100000EC9-6**

Issue Date/Time: **13/08/2022 02:37 PM**

Maturity Date: **20/08/2022**

Issue Price: **100,000 KHR**

Deal type: **Early Redeem Repo**

Type: **BUY**

Settlement Option: **Settle now**

Settlement Date/Time: **N/A**

Securities Qty: **11**

Purchase Price: **100,010 KHR**

Total Purchase Price: **1,100,110 KHR**

Value Date Of Repo: **16/08/2022 02:59 PM**

Repo Maturity Date: **19/08/2022**

Redeem Repo Price: **100,010 KHR**

Description: **REQUEST TO AEON TO RELEASE REPO ISIN EC96**

Seller Information

Participant Name: **AEON Specialized Bank(Cambodia) Plc**

Trader: **N/A**

Email: **N/A**

Phone Number: **N/A**

Buyer Information

Participant Name: **WING BANK (CAMBODIA) PLC.**

Trader: **WING BANK (CAMBODIA) PLC.**

Email: **wing@gmail.com**

Phone Number: **N/A**

Deal Log

Ticket ID: **2613**

Status: **Pending**

Deal has been created
16/08/2022 03:35 PM

Pending Respond

Pending Respond means your request has been submitted to another party and waiting for confirmation.

Once deal has been confirmed, its status will be changed from 'Pending' to 'Confirmed'

ID	Tenor	ISIN	Deal Type	Type	Participant	CCY	Face Value	Securities Qty	Purchase Price	Total Purchase Price	Date/Time	Status	Action
2613	7 Days	KH-100000EC9-6	Early Redeem Repo	BUY	AEON Specialized Bank(Cambodia) Plc	KHR	100,000	11	100,010	1,100,110	16/08/2022 03:35 PM	Confirmed	
2566	7 Days	KH-100000EC9-6	Repo	SELL	AEON Specialized Bank(Cambodia) Plc	KHR	100,000	11	100,000	1,100,000	16/08/2022 02:56 PM	Settled	
2550	7 Days	KH-100000EC9-6	Early Redeem Repo	SELL	Amret Plc	KHR	100,000	8	100,002	800,016	15/08/2022 04:22 PM	Settled	
2549	7 Days	KH-100000EC9-6	Repo	BUY	Amret Plc	KHR	100,000	8	100,000	800,000	15/08/2022 04:18 PM	Settled	
2556	7 Days	KH-100000HC9-3	Early Redeem Repo	BUY	National Bank of Cambodia	KHR	100,000	2	N/A	N/A	15/08/2022 04:02 PM	Settled	
2535	7 Days	KH-100000HC9-3	Straight Forward	SELL	Amret Plc	KHR	100,000	3	100,000	300,000	15/08/2022 02:37 PM	Settled	
2530	7 Days	KH-100000HC9-3	Repo	SELL	National Bank of Cambodia	KHR	100,000	2	N/A	N/A	15/08/2022 02:36 PM	Settled	
2534	7 Days	KH-100000HC9-3	Early Redeem	SELL	National Bank of Cambodia	KHR	100,000	2	99,996	199,992	15/08/2022 02:36 PM	Settled	
2514	91 Days	KH-100000HC7-7	Repo	SELL	National Bank of Cambodia	KHR	100,000	20	N/A	N/A	15/08/2022 10:48 AM	Settled	
2422	7 Days	KH-100000BC9-4	Early Redeem	SELL	National Bank of Cambodia	USD	100.00	5	99.98	499.90	12/06/2022 08:56 AM	Settled	

Click on



Deal Log		
Deal Information ISIN: KH-100000EC9-6 Issue Date/Time: 13/08/2022 02:37 PM Maturity Date: 20/08/2022 Issue Price: 100,000 KHR Deal type: Early Redeem Repo Type: BUY Settlement Option: Settle now Settlement Date/Time: 17/08/2022 01:00 PM Securities Qty: 11 Purchase Price: 100,010 KHR Total Purchase Price: 1,100,110 KHR Value Date Of Repo: 16/08/2022 02:59 PM Repo Maturity Date: 19/08/2022 Redeem Repo Price: 100,010 KHR Description: REQUEST TO AEON TO RELEASE REPO ISIN EC96	Seller Information Participant Name: AEON Specialized Bank(Cambodia) Plc Trader: AEON INPUTTER Email: aeoninputter@gmail.com Phone Number: N/A Buyer Information Participant Name: WING BANK (CAMBODIA) PLC. Trader: WING BANK (CAMBODIA) PLC. Email: wing@gmail.com Phone Number: N/A	Deal Log Ticket ID: 2613 Status: Confirmed Deal is processing 16/08/2022 03:49 PM Deal has been created 16/08/2022 03:35 PM

Settlement Date/Time: refers to schedule when this deal will be settled.

- **Release REPO: refers to reverse repo before its maturity date. This can be made by buyers from their Incoming REPO list.**

- click on My portfolio -> Incoming Repo -> Click Release

NBSF PORTAL v1.0.2 - Test02

Current Date/Time: 16/08/2022 02:40:31 PM

Current User: C:\Users\ADMIN\Documents\NBSF\PORTAL\16082022\024031PM

Incoming REPO

Value Date Of REPO(From-To): REPO Maturity Date(From-To): Currency: Status: Search

Select Filter

SEARCH

ID	ISIN	Participant	Product	Value Date Of REPO (1)	REPO Maturity Date	CCY	Securities Qty	REPO Price	Redeem REPO	Status	Action
A05	KH-1000000029-4	Vietcombank Ltd	NCD	16/08/2022 02:40:31 PM	17/08/2022	USD	5	100.00	100.00	Pending	RELEASE
1421	KH-1000000009-4	Vietcombank Ltd	NCD	16/08/2022 09:30 AM	17/08/2022	USD	10	100.00	100.00	Processing	RELEASE
1401	KH-1000000009-4	Vietcombank Ltd	NCD	16/08/2022 10:00 AM	16/08/2022	USD	10	100.00	110.00	Released	RELEASE
8037	KH-1000000028-6	Amoral Pte	1-RCRD	04/08/2022 02:46 PM	04/08/2022	KH-DR	30	100.0000	100.0000	Released	RELEASE

Items per page: 10 1 of 4

Click **RELEASE** and input description

Confirm Release Incoming Repo

Description*

Release repo

CLOSE CONFIRM

Click **CONFIRM**

Are you sure to submit this request?

Description (Optional)

CANCEL SUBMIT

Click **SUBMIT**

Authorizer go to **Authorization -> Authorize Request** - click on **Pending ID**

My Request > My Request's detail

Request Information

ID: 12141

Subject: EARLY_REDEEM_REPO

Action: CREATE

Requested By: Canadia Inputter

Requested At: 16/08/2022 02:56 PM

Participant: Canadia Bank Plc.

Reason: N/A

Status: Updated

Authorizers

16/08/2022 16:01:32
CNB Authorizer
Approved

Module Information

Payload

orderType

name: Early Redeem Repo

type: SELL

currencyCode: USD

securitiesQty: 5

purchasePrice: 100.00

totalPurchasePrice: 500.00

settlementDateTime

secondarySettlementOption

name: Settle now

outOffTime: 15:11

senderParticipant

fullName: Canadia Bank Plc.

receiverParticipant

fullName: Vattanas Bank Ltd

securities

isin: KH-1000000C9-4

issueDateTime: 15/08/2022 09:15:00

maturityDate: 22/08/2022

daysToMaturity: 5.00

productType: NCD

currencyCode: USD

faceValue: 100.00

cancelledBy

rejectedBy

BACK

After request has been authorized, there will be a record 'Pending' in Deal Menu.

ID	Tenor	ISIN	Deal Type	Type	Participant	CCY	Face Value	Securities Qty	Purchase Price	Total Purchase Price	Date/Time ↓ ↑	Status	Action
2612	7 Days	KH-1000000C9-4	Early Redeem Repo	BUY	Canadia Bank Plc.	USD	100.00	5	100.00	500.00	16/08/2022 03:01 PM	Pending	🔍
2611	7 Days	KH-1000000C9-4	Repo	SELL	Canadia Bank Plc.	USD	100.00	5	100.00	500.00	16/08/2022 02:48 PM	Settled	🔍
2609	7 Days	KH-1000000C9-4	Straight Forward	SELL	Canadia Bank Plc.	USD	100.00	100	115.00	11,500.00	16/08/2022 02:28 PM	Unsettled	🔍
2606	7 Days	KH-1000000C9-4	Early Redeem Repo	BUY	Canadia Bank Plc.	USD	100.00	10	100.00	1,000.00	16/08/2022 10:18 AM	Confirmed	🔍
2604	7 Days	KH-1000000C9-4	Repo	SELL	National Bank of Cambodia	USD	100.00	16	N/A	N/A	16/08/2022 10:08 AM	Settled	🔍
2602	7 Days	KH-1000000C9-4	Repo	SELL	Canadia Bank Plc.	USD	100.00	10	100.00	1,000.00	16/08/2022 09:30 AM	Settled	🔍
2599	7 Days	KH-1000000C9-4	Straight Forward	SELL	AMK Microfinance Institution Plc	USD	100.00	200	100.00	20,000.00	16/08/2022 11:00 PM	Pending	🔍
2593	7 Days	KH-1000000C9-4	Early Redeem	SELL	National Bank of Cambodia	USD	100.00	10	99.99	999.90	16/08/2022 03:23 PM	Settled	🔍
2515	7 Days	KH-1000000C9-4	Straight Forward	SELL	AMK Microfinance Institution Plc	USD	100.00	200	100.00	20,000.00	16/08/2022 10:49 AM	Unsettled	🔍
2512	7 Days	KH-1000000C9-4	Repo	SELL	Canadia Bank Plc.	USD	100.00	10	100.00	1,000.00	16/08/2022 09:58 AM	Settled	🔍

Rows per page: 10 1-10 of 94

Go to Secondary Market-> click Deal and click on



Deal Log

Deal Information

ISIN: [REDACTED]

Issue Date/Time: 1 [REDACTED]

Maturity Date: [REDACTED]

Issue Price: [REDACTED]

Deal type: [REDACTED]

Type: [REDACTED]

Settlement Option: [REDACTED]

Settlement Date/Time: [REDACTED]

Securities Qty: [REDACTED]

Purchase Price: [REDACTED]

Total Purchase Price: [REDACTED]

Value Date Of Repo: 1 [REDACTED]

Repo Maturity Date: [REDACTED]

Redeem Repo Price: [REDACTED]

Description: [REDACTED]

Seller Information

Participant Name: [REDACTED]

Trader: [REDACTED]

Email: [REDACTED]

Phone Number: [REDACTED] N/A

Buyer Information

Participant Name: [REDACTED]

Trader: [REDACTED]

Email: [REDACTED]

Phone Number: [REDACTED] N/A

Deal Log

Ticket ID: [REDACTED]

Status: Pending

Deal has been created
16/08/2022 03:35 PM

Pending Respond

CANCEL

CLOSE

Pending Respond means your request has been submitted to another party and waiting for confirmation.

Once deal has been confirmed, its status will be changed from 'Pending' to 'Confirmed'

Deal													
Date(From-To)	Deal Type	Status	Currency	Tenor									
Search	SEARCH												
ID	Tenor	ISIN	Deal Type	Type	Participant	OCY	Face Value	Securities Qty	Purchase Price	Total Purchase Price	Date/Time	Status	Action
2612	7 Days	KH-100000G09-4	Early Redeem Repo	BUY	Canadia Bank Plc.	USD	100.00	5	100.00	500.00	16/08/2022 03:01 PM	Confirmed	
2611	7 Days	KH-100000G09-4	Repo	SELL	Canadia Bank Plc.	USD	100.00	5	100.00	500.00	16/08/2022 02:48 PM	Settled	
2609	7 Days	KH-100000G09-4	Straight Forward	SELL	Canadia Bank Plc.	USD	100.00	100	115.00	11,500.00	16/08/2022 02:28 PM	Unsettled	
2605	7 Days	KH-100000G09-4	Early Redeem Repo	BUY	Canadia Bank Plc.	USD	100.00	10	100.00	1,000.00	16/08/2022 10:18 AM	Confirmed	
2604	7 Days	KH-100000G09-4	Repo	SELL	National Bank of Cambodia	USD	100.00	15	N/A	N/A	16/08/2022 10:06 AM	Settled	
2602	7 Days	KH-100000G09-4	Repo	SELL	Canadia Bank Plc.	USD	100.00	10	100.00	1,000.00	16/08/2022 09:50 AM	Settled	
2569	7 Days	KH-100000G09-4	Straight Forward	SELL	AMK Microfinance Institution Plc.	USD	100.00	200	100.00	20,000.00	16/08/2022 11:00 PM	Pending	
2563	7 Days	KH-100000G09-4	Early Redeem Repo	SELL	National Bank of Cambodia	USD	100.00	10	99.99	999.90	16/08/2022 03:23 PM	Settled	
2515	7 Days	KH-100000G09-4	Straight Forward	SELL	AMK Microfinance Institution Plc.	USD	100.00	200	100.00	20,000.00	16/08/2022 10:49 AM	Unsettled	
2612	7 Days	KH-100000G09-4	Repo	SELL	Canadia Bank Plc.	USD	100.00	10	100.00	1,000.00	16/08/2022 09:58 AM	Settled	

Rows per page: 10 1/20 of 34

Click on



Deal Log

Deal Information

ISIN: KH-100000GC9-4
Issue Date/Time: 15/08/2022 09:15 AM
Maturity Date: 22/08/2022
Issue Price: 100.00 USD
Deal type: Early Redeem Repo
Type: SELL
Settlement Option: Settle now
Settlement Date/Time: 17/08/2022 01:00 PM
Securities Qty: 5
Purchase Price: 100.00 USD
Total Purchase Price: 500.00 USD
Value Date Of Repo: 16/08/2022 02:49 PM
Repo Maturity Date: 17/08/2022
Redeem Repo Price: 100.00 USD
Description: Release repo

Seller Information

Participant Name: Canadia Bank Plc.
Trader: Canadia Inputter
Email: cnbinputter@gmail.com
Phone Number: N/A

Buyer Information

Participant Name: Vattanac Bank Ltd
Trader: Vattanac Bank Ltd
Email: vbl@gmail.com
Phone Number: N/A

Deal Log

Ticket ID: 2612
Status: Confirmed
Deal is processing
16/08/2022 03:12 PM
Deal has been created
16/08/2022 03:01 PM

Settlement Date/Time: refers to schedule when this deal will be settled.

4.3.5. TRADING ACCOUNTS

This function allows FI to view their trading account and transactions.

Participants can view their TA Balance and export the account statement.

Go to My portfolio -> Trading Account -> Click on export – either Excel or PDF.

Trading Accounts

Available Balance KHR

CNB@nbc - KHR T/A of CNB
6,963,415,029,390

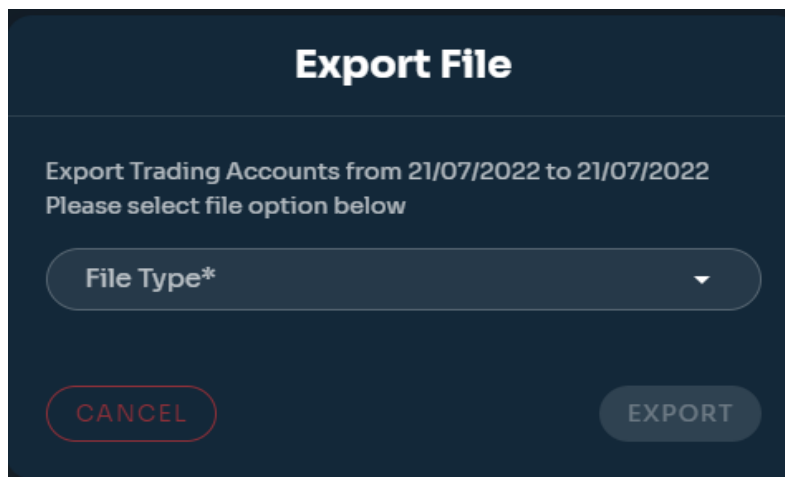
Available Balance USD

CNB@nbc - USD T/A of CNB
0.00

Account Statement

Select Filter
Created At (From-To)
20/07/2022 - 20/07/2022
Type
Currency
KHR
SEARCH EXPORT

ID	Created At	Type	Currency	Debit	Credit	Available Balance	Ref
1059	20/07/2022 08:00:00 AM	Opening Balance	KHR			6,963,415,029,390	
						Total Debit:	0 KHR
						Total Credit:	0 KHR

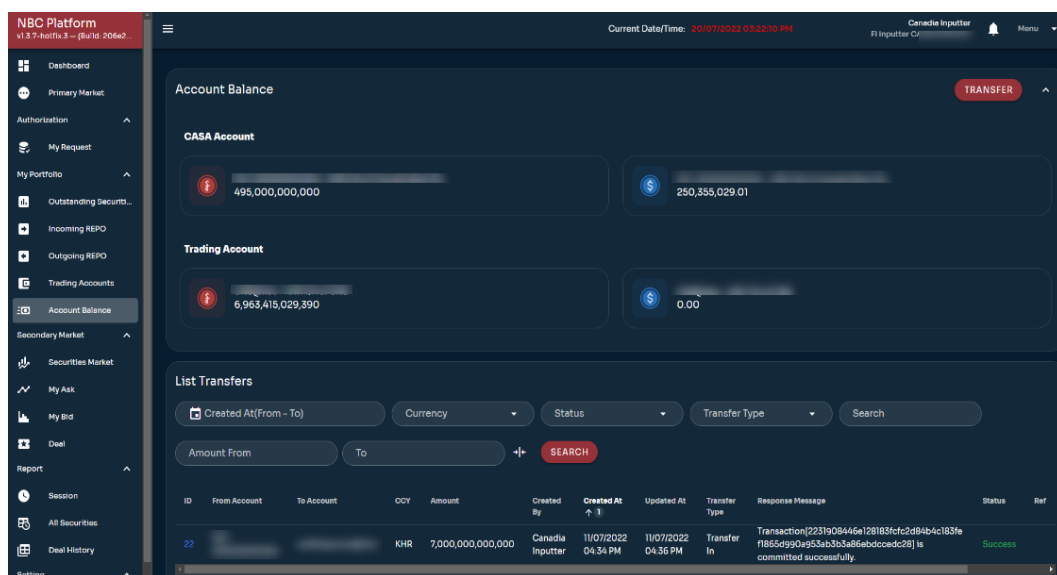


Click the drop-down to choose the file type- PDF or Excel format- you want to export the account statement.

4.3.6. ACCOUNT BALANCE

This function allows FI to see their balance in both CASA and TA and allow them to transfer from CASA to TA and vice versa.

Go to My portfolio -> Account Balance -> Transfer -> choose CASA account and input amount to transfer and confirm.



Authorizers need to authorize that request and wait around 5mn to 10mn for processing at flex cube.

5. REPORT

This function allows FI to view the report.

5.1. SESSIONS

This function allows FI to view the session report list.

ID	Session Name	Open Date	Close Date	Status	Action
802	NCD testing 21.07	21/07/2022 01:35 PM	21/07/2022 04:00 PM	Ended	
601	Training Session FI 1st	18/07/2022 04:57 PM	20/07/2022 01:45 PM	Ended	

Open date: The session open date

Close date: The session close date and time

Search box: Search for session

Search button: Search button to display data with filter

User can click on the icon  to view more detail on that session.





ID	Tenor	ISIN	Asset Name	Subscription Date	CCY	Subscription Qty	Subscription Price	Subscription Status
61	2 Days	KH-1000005C8-1	NCDKHR2D	21/07/2022 01:58 PM	KHR	100	100000	Subscribed

This details the session that the user has subscribed to.

5.2. ALL SECURITIES

This function allows FIs to see all securities that FIs used to hold.

All Securities

ID	Tenor ↑ 1	ISIN	Issue Date/Time	Maturity Date ↓ 2	CCY	Securities Balance	Face Value	Total Value	Status	Action
48	2 Days	KH-1000003C8-6	19/07/2022 10:46 AM	21/07/2022	KHR	0	100,000	0	Matured	
27	2 Days	KH-1000007C7-3	16/07/2022 01:45 PM	18/07/2022	KHR	0	100,000	0	Matured	
15	7 Days	KH-100000FC7-9	13/07/2022 08:07 AM	20/07/2022	KHR	0	100,000	0	Matured	
12	7 Days	KH-100000CC7-2	12/07/2022 03:50 PM	19/07/2022	KHR	0	100,000	0	Matured	

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User can click on this icon  to view more detail of securities.

All Securities > Securities Detail

ISIN	KH-1000003C8-6	Maturity Date	21/07/2022	Face Value	100,000
Product Type	NCD	Maturity Settlement Date/Time	21/07/2022 04:00 PM	Price at Maturity	100,000
Asset Name	NCDKHR2D	Discount Rate (%)	0%	Status	Matured
Currency	KHR	Interest Rate(%)	0.8%		
Issue Date/Time	19/07/2022 10:46 AM	Interest Amount	4		

TRADE LIST

ID	Trade Type	Type	Securities Qty	Purchase Price	Total Purchase Price	Participant	Trading Date/Time ↑ 1	Blocked
207	Allocate	Buy	+100	100,000	10,000,000	National Bank of Cambodia	19/07/2022 10:46 AM	No
217	Straight Forward	Buy	+10	100,000	1,000,000	ACLEDA Bank Plc.	19/07/2022 11:11 AM	No
255	Settlement	Matured	-110	100,000	11,000,440	National Bank of Cambodia	21/07/2022 04:00 PM	No

5.3. DEAL HISTORY

This function allows FIs to view the deal history, deal log of all kinds of trade.


Deal History

Deal Date(From-To) Status Deal Type Currency Tenor

Search SEARCH

ID	Tenor	ISIN	Product Type	Deal Type	Type	Participant	CCY	Face Value	Securities Qty	Total Purchase Price	Deal Date/Time	Status	Action
440	2 Days	KH-1000005C8-1	NCD	Allocate	BUY	National Bank of Cambodia	KHR	100,000	100	10,000,000	21/07/2022 02:02 PM	Settled	
446	91 Days	KH-100000XC7-7	NCD	Allocate	BUY	National Bank of Cambodia	KHR	100,000	100	10,000,000	19/07/2022 02:10 PM	Settled	
441	14 Days	KH-100000WC7-8	NCD	Allocate	BUY	National Bank of Cambodia	KHR	100,000	100	10,000,000	19/07/2022 02:10 PM	Settled	
433	7 Days	KH-100000VC7-9	NCD	Allocate	BUY	National Bank of Cambodia	KHR	100,000	100	10,000,000	19/07/2022 02:09 PM	Settled	

Rows per page: 10 1-4 of 4

User can click on the icon  to see more details on each security.

Deal Log

Deal Information ISIN: KH-1000005C8-1 Issue Date/Time: 21/07/2022 02:15 PM Maturity Date: 23/07/2022 Issue Price: 100,000 KHR Deal type: Allocate Type: BUY Participant Name: National Bank of Cambodia Settlement Option: N/A Settlement Date/Time: 21/07/2022 02:15 PM Securities Qty: 100 Price: 100,000 KHR	Deal Log Ticket ID: 440 Status: Settled
---	--

Close Export

In Deal log, user is able to track the status of trade, settled or unsettled.

Users also can export the deal log in either PDF or excel.

6. SETTING

This function allows FIs to manage notification management.

6.1. PAYMENT CHANNEL

This function allows FIs users to manage the payment channel (applicable for Government bond only).

Payment Channel				
Product Type ↑	Currency	Coupon Channel	Coupon Account Number	Action
T-BOND	USD	NetFile		
T-BOND	KHR	NetFile		

Click on Action Edit

[Payment Channel](#) > Update Payment Channel

Product Type:

T-BOND

Currency:

KHR

Coupon Channel:

NetFile

Coupon Account Number:

Coupon Account Number must be 9 or 12 digits

CANCEL

UPDATE

Input the account Number that receive coupon payment from Government bond.

6.2. NOTIFICATION MANAGEMENT

This function allows FIs to manage the notification management.

Notification Management			
<input type="text" value="Search"/>		<input type="button" value="SEARCH"/>	
Code	Name	Description	Select Notification
PWD_RESET	Password reset		EMAIL PUSH <input type="button" value="NONE"/>
PWD_CHANGED	Password changed		EMAIL PUSH <input type="button" value="NONE"/>
PWD_EXP	Password expired		EMAIL PUSH <input type="button" value="NONE"/>
LOGGED_IN	user log into the system		EMAIL PUSH <input type="button" value="NONE"/>
MAX_LOGIN_ATTEMPT	Maximum login attempts exceed		EMAIL PUSH <input type="button" value="NONE"/>
DC_CRT	Data change request is created		EMAIL PUSH <input type="button" value="NONE"/>
DC_RECT	Reject Data Change Request		EMAIL PUSH <input type="button" value="NONE"/>
DC_APPR	Approve Data Change Request		EMAIL PUSH <input type="button" value="NONE"/>
DC_UPDATE_ERR	Data Change Request fails to update		EMAIL PUSH <input type="button" value="NONE"/>
DC_UPDATE_SUCC	Data Change Request is updated successfully		EMAIL PUSH <input type="button" value="NONE"/>

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Users can choose when and way to receive notification.